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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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First Named Inventor or Application Identifier Arthur Zwern

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09/357528

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.        Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.   X   Specification (Total Pages 65)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.   X   Drawings(s) (35 USC 113) (Total Sheets 20)
4.        Oath or Declaration (Total Pages    )
  - a.        Newly Executed (Original or Copy)
  - b.        Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
  - i.        DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.        Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.        Microfiche Computer Program (Appendix)

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7. \_\_\_\_\_ Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a. \_\_\_\_\_ Computer Readable Copy  
 b. \_\_\_\_\_ Paper Copy (identical to computer copy)  
 c. \_\_\_\_\_ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. \_\_\_\_\_ Assignment Papers (cover sheet & documents(s))  
 9. \_\_\_\_\_ a. 37 CFR 3.73(b) Statement (where there is an assignee)  
 \_\_\_\_\_ b. Power of Attorney  
 10. \_\_\_\_\_ English Translation Document (if applicable)  
 11. \_\_\_\_\_ a. Information Disclosure Statement (IDS)/PTO-1449  
 \_\_\_\_\_ b. Copies of IDS Citations  
 12. \_\_\_\_\_ Preliminary Amendment  
 13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
 14. \_\_\_\_\_ a. Small Entity Statement(s)  
 \_\_\_\_\_ b. Statement filed in prior application, Status still proper and desired  
 15. \_\_\_\_\_ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
 16. \_\_\_\_\_ Other: \_\_\_\_\_  
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17. **If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

\_\_\_\_\_ Continuation \_\_\_\_\_ Divisional \_\_\_\_\_ Continuation-in-part (CIP)  
 of prior application No: \_\_\_\_\_

18. **Correspondence Address**

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# APPLICATION FOR UNITED STATES LETTERS PATENT

FOR

## Method and System for Generating Fully-Textured 3-D Models

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Signed: Susan Rose  
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## Method and System for Generating Fully-Textured 3-D Models

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### CROSS-REFERENCE TO RELATED APPLICATION

This application claims the benefits of the provisional application, No. 60/093,335, filed 07/20/98, entitled " Generation of Fully-Textured 3D Models System ", which is hereby incorporated by reference for all purposes.

### BACKGROUND OF THE INVENTION

#### Field of the Invention

The present invention generally relates to 3-dimensional (3D) scanning and measuring systems and more particularly relates to methods and systems for generating 3D fully textured models from 2-dimensional imagery of 3D objects acquired by a color video imaging system.

#### Description of the Related Art

Three-dimensional (3D) models of objects can provide information useful for a variety of applications such as generating computer aided design models from physical objects in product designs, outlining biological structures or organs in medical research and generating digital characters for computer games. Nevertheless, the creation of the 3D models has in the past required highly skilled professionals, extensive artistic knowledge, expensive modeling equipment and laborious efforts.

With the popularity of personal computers and the rapid emergence of the Internet and the World Wide Web (WWW), there are increasing demands from non-professionals for 3D modeling systems that require no extensive knowledge to operate. For example, a business may need to generate 3D models of its products and present them on its www site to attain its e-commerce presence. A game developer may need to create a 3D model of a physical object to use as a realistic character in a computer game. A child may want to generate a 3D image of one of his/her favorite new toys to share by the Internet with a relative living remotely. The various applications and demands have proclaimed features of such 3D modeling systems that must be low in cost and easy in operation.

One of the commonly used 3D modeling systems is a triangulation system projecting beams of laser light onto an object. Ordinarily, the reflected light bounces off the object at an angle

relative to the light source. The system employing an imaging system collects the reflection information from a different location relative to the light source and then determines the coordinates of the point or points of reflection by triangulation. A single dot system projects a single beam of light which, when reflected, produces a single dot of reflection. A scanning line system sends a plane of light against the object, the plane of light is reflected as a curvilinear-shaped set of points describing one contour line of the object. The location of each point in that curvilinear set of points can be determined by triangulation. The accuracy of the systems may be high but the systems are costly. The triangulation in the systems requires a precise configuration between the light source and the imaging system. Further the digitizing speed is usually slow when the object is large in size and limited by the mechanics of the scanning system.

Another commonly used 3D modeling approach is a stereoscopic system employing one or more imaging systems located at known locations or distances from each other to take multiple images of a 3D object. The captured images are processed with a pattern recognition system that corresponds the various points of the object in the multiple images and triangulates to extract depth information of these points, thereby obtaining the shape/contour information of the 3D object.

5

The above systems are either costly or require substantial knowledge to operate and not applicable in many applications that can not afford the cost and complexity. It is therefore a great need for a 3D modeling system that is easy to set up, virtually anywhere within minutes, and operated together with a personal computer, to scan and measure a 3D object and electronically replicate a fully-textured 3D model of the object.

## SUMMARY OF THE INVENTION

The invention relates to techniques that provide for automatically generating fully-textured 3D models of objects from a sequence of images taken around the objects. A 3D modeling system employing the invention disclosed herein is easy to set up, virtually anywhere within minutes, and operated without specific knowledge to scan and measure a 3D object and electronically replicate a fully-textured 3D model of the object.

One aspect of the invention provides a solution for automatically calibrating a camera using only a portion of a calibration disc, which essentially provides a larger effective field of view of the camera, hence more freedom to place an object to be imaged and a higher image resolution.

Another aspect of the invention is a space carving process. Volumetric cells or cubes are recursively subdivided to fit to a 3D object using a tree structure that encodes the entire process. All cubes are labeled with one of three colors one of which indicates that a cube is partially occupied by the object. The partially occupied cubes are recursively subdivided to fit into the object up to a predefined degree of refinement. To improve the overall performance of the space carving process, mask images are respectively encoded using what is called a Maximal Area Encoding scheme that now makes it possible to have the space carving process performed independent of the size of the images.



Still another aspect of the invention is a 3D mesh model generation process that begins with the tree structure generated in the space carving process and generates self-constrained and interconnected triangles, in a sense that all triangles intersect with each other either not at all or at common boundary faces, to represent the shape of the 3D object. The process relies on what is called "neighborhood configuration" to derive those valid triangles bounding a 3D volume. All triangles generated are indexed in a list, which permits an effective post-processing of the triangles.

Yet still another aspect of the invention is a textured patch process that provides a useful mechanism for users to edit and modify a fully-textured 3D model in a desired manner. Each patch starts with a chosen triangle as a seed to grow with triangles that share at least one edge with another triangle entered in the patch. Each textured patch can be exported to a commonly used image format and for editing and modifying with an image processing application.

The invention can be implemented in numerous ways, including a method, a system and a computer readable medium containing program code for automatically generating a fully-textured 3D model of an object without extensive knowledge, intensive labors and expensive equipment. The advantages of the invention are numerous. Different embodiments or implementations may yield one or more of the following advantages.

One of the advantages is an economical and efficient 3D modeling system that is low in cost and easy to operate, virtually anywhere within minutes. The modeling system employing the present invention can be used and operated by an ordinary skilled person to generate fully-textured models of 3D objects within a limited time for many applications including Internet commerce and product designs. Another advantage is the various efficient data processes in the present invention that are designed to minimize system overhead and reduce computation complexities and thus can be executed in many computing devices such as a laptop computer or a portable device.

Other advantages, objects and features of the present invention, together with the foregoing, are attained in the exercise of the invention in the following description and resulting in the embodiment illustrated in the accompanying drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

These and other features, aspects, and advantages of the present invention will become better understood with regard to the following description, appended claims, and accompanying drawings where:

**Figure 1A** demonstrates a system in which the present invention may be practiced;

**Figure 1B** shows a block diagram of a preferred internal construction of computer system that may be used in the system of **Figure 1A**;

**Figure 2A** shows that a turntable is placed within the field of view of (FOV) of a camera viewing or videotaping from an angle • looking down toward, and slightly oblique to the turntable;

**Figure 2B** depicts an image of an ellipse-like shape to show how a disc is perceived by the camera of **Figure 2A**;

**Figure 2C** and **Figure 2D** show that the field of view of the camera encloses an entire calibration target in **Figure 2C**, resulting in a narrower effective field of view as opposed to the larger effective field of view in **Figure 2D** in which one side of the calibration target is ignored (not imaged);

**Figure 3** shows a process flowchart of deriving the camera model according to one embodiment of the present invention and should be understood in conjunction of **Figures 2A** and **2B**;

**Figure 4** depicts a simplified top plan view of **Figure 1A**;

**Figure 5 illustrates that** a background the camera sees is now a stationary and uniformly colored panel;

**Figure 6A** shows a cube divided into eight smaller cubes for further determination of the color of each of the smaller cubes with respect to the surround mask images;

**Figure 6B** shows that a projection of the cubes is compared with one of the mask images to determine the color of each of the cubes;

**Figure 6C** shows an exemplary collection of "black" cubes (3D region) defines a volumetric boundary of the object in a 3D space;

**Figures 7A-7B** show a flowchart of the space carving process according to one embodiment of the present invention;

**Figure 7C** shows an exemplary octree used to encode a 3D region of an object;

**Figure 8A** shows an encoded image according to the maximal area encoding (MAE) scheme;

**Figure 8B** illustrates what is called herein a Maximal Area Encoding (MAE) process;

**Figure 8C** illustrates a projection of a cube onto a plane;

**Figures 9** shows a meshing process flowchart according to one embodiment of the present invention;

**Figure 10A** shows a portion from a 3D region encoded by an octree and intersecting with white cubes that have been carved away in the space carving process;

**Figure 10B** illustrates that a given boundary cube is neighbored with three neighboring cubes;

**Figure 10C** shows all of the four possibilities in the “neighborhood configuration”;

**Figure 10D** illustrates, respectively, two examples, one results in a square if all the front cubes are connected and the other results in a triangle if all the front cubes are connected;

**Figure 11A** shows a process flowchart of applying the texture patterns to a mesh model;

**Figure 11B** shows a flowchart of the textured patch generation process according to one embodiment of the present invention;

**Figure 12A** shows a group of triangles being assigned to respective side view images; and

**Figure 12B** illustrates that a patch is growing with every newly added triangle.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The invention relates to techniques that provide for automatically generating fully-textured 3D models of objects from a sequence of images taken around the objects. A 3D modeling system employing the present invention can be used and operated by an ordinary skilled person to generate fully-textured models of 3D objects within a limited time for many applications including Internet commerce and product designs. Various processes in the present invention are designed to minimize system overhead and reduce computation complexities and thus embodiments thereof can be executed in many computing devices such as a laptop computer and a portable device.

### Notation and Nomenclature

In the following detailed description of the present invention, numerous specific details are set forth in order to provide a thorough understanding of the present invention. However, it will become obvious to those skilled in the art that the present invention may be practiced without these specific details. In other instances, well known methods, procedures, components, and circuitry have not been described in detail to avoid unnecessarily obscuring aspects of the present invention.

The detailed description of the present invention in the following are presented largely in terms of procedures, steps, logic blocks, processing, and other symbolic representations that resemble data processing in computing devices. These process
 5 descriptions and representations are the means used by those experienced or skilled in the art to most effectively convey the substance of their work to others skilled in the art. The method along with the system and the computer readable medium to be described in detail below is a self-consistent sequence of
 10 processes or steps leading to a desired result. These steps or processes are those requiring physical manipulations of physical quantities. Usually, though not necessarily, these quantities may take the form of electrical signals capable of being stored, transferred, combined, compared, displayed and otherwise
 15 manipulated in a computer system or electronic computing devices. It proves convenient at times, principally for reasons of common usage, to refer to these signals as bits, values, elements, symbols, operations, messages, terms, numbers, or the like. It should be borne in mind that all of these similar terms are to be associated
 20 with the appropriate physical quantities and are merely convenient labels applied to these quantities. Unless specifically stated otherwise as apparent from the following description, it is appreciated that throughout the present invention, discussions utilizing terms such as “processing” or “computing” or “verifying” or
 25 “comparing” or the like, refer to the actions and processes of a

computing device that manipulates and transforms data represented as physical quantities within the computing device's registers and memories into other data similarly represented as physical quantities within the computing device or other electronic devices.

### A 3D Capturing System and Color Images

Referring now to the drawings, in which like numerals refer to like parts throughout the several views. **Figure 1A** shows a system **100** in which the present invention may be practiced. An object **102** to be modeled electronically may be a combination of one or more 3D objects such as toys, products or devices. Object **102** is placed on a turntable **104** that is preferably controlled by a stepper motor (not shown). The stepper motor operates under the control of a computer system **106** to rotate turntable **104** so that object **102**, when being turned around, can be viewed and imaged by an imaging system **108** that subsequently produces a surrounding imagery comprising a number of side view images, each taken at a predetermined relative position of object **120** with respect to imaging system **108**.

There is no special requirement for turntable **104** to operate together with imaging system **108** and computer system **106**. According to one embodiment, turntable **104** is made of round shape and flat surface to avoid possible jittering movement when being rotated. The stepper motor that drives turntable **104** is



coupled to computer system **106** through a serial port thereof.  
 Imaging system **108** that is also coupled to computer system **106**  
 through a Universal Serial Bus (USB) or a second serial port  
 thereof may be a digital video camera such as Kodak DVC-323  
 from Eastman Kodak or an image capturing device that produces  
 digital color image data. In the case that the image capturing device  
 produces only analog signals, those skilled in the art understand  
 that a frame grabber may be installed in computer system **106** to  
 digitize the analog signals so as to produce the digital color image  
 data. Not for limiting the scope of the present invention, it is  
 assumed that computer system **106** receives digital color images  
 data from imaging system **108** in the following description.

Computer system **106** may be a computing system that may  
 include, but not be limited to, a desktop computer, a laptop  
 computer, or a standalone portable device. **Figure 1B** shows a  
 block diagram showing a preferred internal construction of  
 computer system **106**. As shown in **Figure 1B**, computer system  
**106** includes a central processing unit (CPU) **122** interfaced to a  
 data bus **120** and a device interface **124**. CPU **122** executes certain  
 instructions to manage all devices and interfaces coupled to data  
 bus **120** for synchronized operations and device interface **124** may  
 be coupled to an external device such as imaging system **108**  
 hence image data therefrom are received into a memory or storage  
 through data bus **120**. Also interfaced to data bus **120** is a display  
 interface **126**, network interface **128**, printer interface **130** and

5 floppy disk drive interface **138**. Generally, a compiled and linked version of one embodiment of the present invention is loaded into storage **136** through floppy disk drive interface **138**, network interface **128**, device interface **124** or other interfaces coupled to data bus **120**.

10 Main memory **132** such as random access memory (RAM) is also interfaced to data bus **120** to provide CPU **122** with the instructions and access to memory storage **136** for data and other instructions. In particular, when executing stored application program instructions, such as the compiled and linked version of the present invention, CPU **122** is caused to manipulate the image data to achieve desired results. ROM (read only memory) **134** is provided for storing invariant instruction sequences such as a basic input/output operation system (BIOS) for operation of keyboard 15 **140**, display **126** and pointing device **142** if there are any.

In operation, imaging system or camera **108** produces color image data that is typically represented in three intensity images, such as red (R), green (G) and blue (B) intensity images. Each of the intensity images is an array or matrix of pixels having a value 20 between 0 to 255 if presented in an 8-bit precision. To be specific, each color pixel  $C(i, j)$  in a color image is a vector pixel that may be expressed as follows:

$$C(i, j) = [R(i, j), G(i, j), B(i, j)]^T,$$

where (i, j) are coordinates of an image pixel and C refers to a color image and R, G and B are the respective three intensity images or components in color image C.

It is understood that the R, G, and B color image data representation may not be necessarily the best color coordinates for desired computations, there are many other color coordinates that may be particularly useful for one purpose or another. One of them is HSV (hue, saturation and value) or HIS (hue, intensity, and saturation) representation that facilitates the separation of hue, intensity, and saturation from a color image, hence a vector pixel may be expressed as follows:

$$C(i, j) = [H(i, j), I(i, j), S(i, j)]^T.$$

To facilitate the description of the invention, the following embodiments assume that computer system **106** receives color images in the RGB format. The description makes it evident to those skilled in the art when computer system **106** receives other than the RGB format images.

### **Automated Camera Modeling**

One of the features in the present invention is to provide a mechanism that enables a user without specific knowledge and effort to automatically create fully-textured 3D models of objects. When a number of side view images are provided, one of the fundamental problems in deriving the 3D model of the object is to

find the projective geometric relationship between object points and image points. The problem is in turn related to the determination of a camera model; a mathematical model that describes how an image is formed, i.e. how points in the 3D space are projected onto an image sensor that result in the images. The determination process is called in the art "camera calibration". To provide a generic solution of deriving the camera model, one of the features in the present invention is the automated camera modeling from a single snapshot of a calibration target without a user's intervention.

Referring to **Figure 2A**, a turntable **202** is placed within the field of view of (FOV) of a camera **204** that corresponds to imaging system **108** in **Figure 1A** and preferably viewed or videotaped from an angle  $\theta$  looking down toward, and slightly oblique to turntable **202**. By way of this camera angle  $\theta$ , the perspective view of a disc **206** will result in an ellipse-like shape as viewed by camera **204**. Disc **206** is of a round shape and used as the calibration target in deriving the camera model. In particular, there is an opening **208** in the center of disc **206** for securing disc **206** to turntable **202** in a manner that ensures the center of the disc coincides with the center of the turntable. Although there is no specific requirement regarding the appearance of disc **206**, it is generally preferable that disc **206** is colored with a uniform and homogeneous color different from the color of turntable **202**. For example, the color of turntable **202** may be surfaced with green color while disc **206** may be of white color.

**Figure 2B** depicts an image **220** of an ellipse-like shape **222** to show how disc **206** is perceived by camera **204**. The distortions inherently possessed in shape **222** as opposed to disc **206** reflect the effects of several parameters of camera **204** that include the focal length and field of view of camera **204** as well as orientation and position thereof with respect to disc **206**.

According to one aspect of the present invention, an observation from the distortions of shape **222** provide the following properties:

1. the minor axes **224** and **255** of shape **222** indicate respectively the projection of the rotation axis of the disc;
2. the respective ratios of the major axes **226** and **227** and minor axes **224** and **225** provide information about the obliqueness of the disc with respect to the viewing direction of the camera, for example, the camera must be positioned directly above the disc if the ratios are unity, (it is noted that minor axis **225** can be derived once the ellipse is extracted); and
3. the projections of the center of the disc (COD) and the center of the shape (COS) are generally not coincidental because of the foreshortening effects of the perspective projection of the camera. The COD is generally projected above the COS in the FOV of the camera.

With the above properties, **Figure 3** shows the process flowchart of deriving the camera model according to one embodiment of the present invention and should be understood in conjunction of **Figures 2A** and **2B**. It is assumed that the stepper motor has been calibrated, namely the angle at which the object is positioned is known. At **302**, turntable **202** is first imaged by camera **204** to obtain a reference image Cr. Calibration disc **206** is then placed on the turntable at **304** and a snapshot thereof is taken at **306** to obtain a calibration image Cd. As described above, to take one of the advantages provided in the present invention, the center of the FOV of the camera does not have to be aligned with the center of the calibration disc. In other words, the camera needs to image only the upper portion of the calibration disc including the center.

At **308**, the reference image Cr is subtracted from calibration Cd to obtain a target image Ct. It is understood that the subtraction process is not a simple subtraction of one image from another image and essentially means a removal of the reference information in Cr from Cd to isolate the disc target. As a result of the subtraction process, target image Ct now includes only the disc target with background pixels set to a predefined value. It should be noted that there are a number of approaches to perform the subtraction process, including the conversion of both color images Cd and Cr to a more appropriate color space such as HIS as such effects of minor differences in luminance and chrominance signals

are minimized. Further, additional processing, such as noise reduction, may be applied to the target image  $C_t$  to remove noise. The resultant image  $C_t$  is preferably an upper portion of the disc target including the center.

5           At **310**, a process that may include edge detection/thinning, binarization and line fitting is applied to the target image  $C_t$ . These processing methods are familiar to those skilled in the art and hence not to be described in detail herein. A preferred format of the target image  $C_t$  is binary with only the lines being white and the rest  
10           being black as illustrated in **Figure 2B**.

Based on the known geometry of the calibration disk and the measured major axes  $a$  and minor axes **224-227** along with the above three properties, a computation process can be constructed to estimate uniquely the following parameters at **312**:

- 15           (a)     the angle between the rotation axis of the calibration disc and the ray connecting COD with the camera center (obliqueness);
- (b)     the viewing angle subtended by a pair of image rays extending to the two end points of the minor axis;
- 20           (c)     the angle in the image plane subtended by the axis of rotation of the disc target and the vertical image direction, and

(d) the distance  $Z_o$  and height  $H_o$  of the camera.

Referring to **Figure 2A**, it is generally known that there are two coordinates systems in use to facilitate the determination of the camera position with respect to the calibration disc. Axes  $X'$  and  $Y'$  of the camera coordinates system ( $X'$ ,  $Y'$ ,  $Z'$ ) **208** are aligned with the image axis and axis  $Z'$  points oppositely towards the viewing direction. The origin of the scene coordinates system ( $X$ ,  $Y$ ,  $Z$ ) **210** is centered at COD such that axis  $Y$  is that rotation axis of the disc. The axis  $X$  and  $-Z$  lie in the plane of the calibration disc with axis  $X$  parallel to axis  $X'$  of the camera system. Thus together with the above properties 1-3 and for (c), the camera FOV can be computed. Using the angles specified in (a) and (b) and the FOV, the well known 3x3 rotation matrix between the coordinate systems of the camera and the scene can be computed in three elementary rotation steps. Further using the FOV, the major and minor axes  $a$  and  $b$  of the target disc and the rotation matrix, the translation vector between the two coordinate systems can be computed. The value may be specified up to a scaling factor, or if the size of the calibration disc is known, the absolute translation can be specified. The derived parameters or the camera model is stored in memory at **314** for use when the coordinates of the object in the scene space are determined from images.

There may be other ways to determine the parameters in the camera model. What is important herein is that the present



invention is designed to depend only on three of the four end points of the ellipse-like shape, namely an upper portion of the disc target including the center. In other words, one of the features in the camera calibration technique disclosed herein does not require a full disc target in the view.

More importantly, having a field of view of a camera to cover an entire calibration target means that the camera has to have a narrowed effective field of view. **Figure 2C** shows that the field of view of the camera encloses the entire calibration target, resulting in a narrower effective field of view **236** as opposed to the effective field of view **238** in **Figure 2D** in which one side of the calibration target is ignored (not imaged). As a result, the effective field of view **238** in **Figure 2C** is larger than that in **Figure 2B**. Having a larger effective field of view provides more freedom to place the object on the turntable and further better image resolution on the object.

The automated camera modeling in the present invention provides a means for users to set up the configuration illustrated in **Figure 1A** with minimum effort. Once the camera and the turntable are relatively positioned with respect to each other, the system can automatically derive, with a calibration disc placed on the turntable, the camera model that provides a maximum effective field of view.

## Acquisition of Images

Once the camera model is determined, the relative position between the camera and the turntable must be maintained intact. Preferably, the illumination condition remains the same when the object is imaged. It is generally understood that an image of the object is a two-dimensional projection of the 3D object and cannot provide sufficient information to uniquely reconstruct the profile of the 3D object. Hence, the next step is to take images around the object.

As illustrated in **Figure 1A**, 3-D object **102** is imaged by camera **108** and the output image data from camera **108** are loaded to computer **106** for processing. **Figure 4** depicts a simplified top plan view of **Figure 1A**. Position **404-1** is where camera **108** is positioned for determining the model thereof and is referred to as the initial position. Upon receiving a trigger signal from computer **106**, a first image C1 of object **402** (**102**) is acquired and then downloaded to storage of computer **106**. When the downloading is complete, computer **106** sends a control that causes the motor in the turntable to rotate the turntable a predefined angle  $\theta$ . In other words, the relative position between the turntable and the camera is now changed by the rotation angle  $\theta$ . For illustration purpose, **Figure 4** depicts that camera **108** is repositioned to position **404-2**. Equivalently, object **402** is rotated clockwise by the rotation angle  $\theta$  while camera **108** is still at

position **404-1**. Then a second image C2 of object **402** is acquired and downloaded to the storage of computer **106**. When object **402** rotates a circle, there are  $n$  images (C1, C2 ... Cn) generated, each capturing a side view of object **402** at a known position. For  
 5 example, there are 24 images when rotation angle  $\bullet$  is set to 15 degrees.

With all the images stored in the storage, computer **106** starts the 3D modeling process by processing the stored images sequentially and/or in parallel as described below.

### Space Carving Process

The present invention requires no specific background for the object. Nevertheless, a background having rich colors could warrant additional analysis. For the efficiency of the 3D modeling process, the background is simple, preferably with a uniform and homogeneous color. According to one embodiment, one or two  
 15 panels **502**, **504** are used to block possible color disturbance from a complicated background. Hence the background the camera sees is now stationary and uniformly colored panel as shown in an image **506** in **Figure 5**. To increase subsequent processing efficiency, the background portion is subtracted from image **506** to produce image  
 20 **508** that has only the object of interest.

There are a number of approaches to subtract the background from image **506**. According to one embodiment of the

present invention, a scene without the object is first imaged to generate a reference image  $C_r$  that is analyzed to determine the color ranges for each part in the background image. The ranges are preferably expressed in hue, intensity and saturation. When image **506**, namely one of the  $n$  images ( $C_1, C_2 \dots C_n$ ), is available, it is first transformed to the HIS color coordinates where color pixels are respectively compared to the reference color ranges.

Typically, the color pixels are turned to a first predefined color, for example, white e.g. [255 255 255] when the color pixels are substantially close to the color ranges. The color pixels are preserved or turned to a second predefined color, for example, black (e.g. [0 0 0]) when the color pixels representing the object portion are substantially different from the color ranges of the background. As a result, image **508** is converted to a binary image **510** with black pixels representing the object and the white pixels representing the background, hence image **510** sometimes simply referred to as a silhouette or mask image.

There are now  $n$  object images and a corresponding number of respective mask images derived from side view images ( $C_1, C_2 \dots C_n$ ). As each of the mask images is a projection of the 3D object onto a specific 2D plane; the group of the mask images inherently constrain a 3D region in which the 3D object exists. Hence a volumetric analysis is now proceeded along with the respective mask images. The volumetric analysis herein is to determine the

vertices of the surface of the 3D object in a 3D space. The vertices of the 3D object define a volumetric boundary of all the volumetric cells in a 3D space so as to define the 3D region.

According to one embodiment of the present invention, the resultant 3D region is expressed in terms of volumetric cells or voxels that are shaped as cubes. As will be appreciated below, the cubes are recursively subdivided in conjunction with back projection to each of the mask images to fit into the 3D region up to a predefined precision. As one of the features of the volumetric analysis in the present invention, all the cubes are encoded with respect to an oct-tree or octree, and the back-projection process is made to be independent of the size of the mask images through an unique encoding process.

A space carving process is devised to perform the volumetric analysis. Intuitively, a 3D object is assumed to fit within a single cube in a 3D space. The single large cube now needs to be carved gradually in order to fit the object properly. The carving process starts with subdividing the cube into smaller cubes and compares each of the smaller cube with each of the mask images. The resultant carved model is referred to as a 3D region of the object.

Each cube is sequentially compared with each of the mask images to determine where the extra volumetric spaces need to be carved away. According to one embodiment, three types of color

are used to label a cube. The set of rules to label a cube is as follows:

1. a cube is labeled "white" when the cube is not occupied by the object or any portion of the object;
2. a cube is labeled "black" when the cube is entirely occupied by the object or any portion of the object; and
3. a cube is labeled "gray" when the cube is partially occupied by the object or some portion of the object.

It should be pointed out that cubes labeled in such way are only for identifications and there may be many other ways to identify cubes for facilitating the space carving process.

According to the above rules, the initial single cube is labeled as "gray" because the cube is only partially occupied by the object. The cube is then subdivided into eight smaller cubes **602** as shown in **Figure 6A**. For simplicity, all eight cubes are of equal size.

Ring **604** surrounding eight smaller cubes **602** indicates a trace that there are  $n$  respective mask images successively positioned around, each representing a side view of object **600**. Mask image **606** being shown therein is one of the  $n$  mask images and has a silhouette of object **600** projected thereon. The mask

images respectively surrounding object **600** are now used to decide the color of each of cubes **602**.

To be specific, what is used is called back projection, namely to back project sequentially the cubes against each of the mask  
 5 images. For example, for one mask image **608** in **Figure 6B**, the cubes are back projected to create a projection image **610** thereof. The dashed lines **612** indicate the boundaries of the cubes. According to the rules above, each of the cubes is colored accordingly with respect to mask image **608**. It is shown that four of  
 10 the projected eight cubes are partially occupied by a portion of object **600** and therefore are labeled "gray". The other four are not occupied at all, so they are labeled "white".

The same procedure is repeated with respect to each of the mask images. If a projected cube is empty with respect to at least  
 15 one of the mask images, the cube is labeled "white". If a projected cube is always occupied with respect to each of the mask images, the cube is labeled "black". If the projected cube is partially occupied in some of the mask images and fully occupied in the rest of the mask images, the cube is labeled "gray". The 1<sup>st</sup> and 2<sup>nd</sup>  
 20 rules in the set above may be restated as follows:

1. a cube is labeled "white" when the cube is not occupied by the object or any of the object with respect to at least one of the mask images;

2. a cube is labeled "black" when the cube is entirely occupied by the object or any of the object with respect to each of the mask images.

5 It may be understood that the "gray" cubes may be caused by the boundary of the object and shall be further divided up to a predefined degree of refinement to decide the boundary. The same procedure can be recursively applied until the cubes are subdivided up to the refinement, then the collection of "black" cubes define a volumetric boundary of an exemplary object in a 3D space as shown in **Figure 6C**.

**Figure 7A-7B** show a flowchart of the space carving process according to one embodiment of the present invention and should be understood in conjunction with **Figures 6A-6B**. After a surrounding imagery of the object is generated, the background information is respectively subtracted from each of the images at **702**. Each of the subtracted images is further processed to generate respective mask images at **704**.

20 The back-projection process described above is performed based on image pixels, namely the color of each of the projected cubes is determined by looking up the image pixels in the foreground portion of each of the mask images. When the mask image is of large size, the pixel-based processing can be considerable lengthy.



According to one embodiment, each of the mask images is encoded at **706** using what is referred to herein as Maximal Area Encoding (MAE) scheme. As one of the features in the present invention, the MAE scheme can improve substantially the processing speed of determining the color of each of the cubes.

Referring now to **Figures 8A** and **8B**, there are respectively shown an example of the MAE scheme and the process flowchart. Image **800** is one of the mask images and includes foreground **802** being the silhouette and background **804**. To simplify the illustration, image **800** is assumed to be of a size of 5 pixels by 5 pixels and hence each block formed by the dashed line is a pixel.

Encoded image **810** shows the result after the MAE scheme. Each image pixel in encoded image **810** is now an integer representing the largest square that has the same color. To be precise, the absolute value of integer  $k$  at coordinates  $(i, j)$  in encoded image **810** describes the largest square such that every image pixel  $(i+a, j+b)$ , for  $0 \leq a < k$  and  $0 \leq b < k$ , has the same color as the pixel at  $(i, j)$ . To identify if an image pixel belongs to the foreground or the background, the example in **Figure 8A** uses negative and positive numbers, respectively, for the background and the foreground.

**Figure 8B** illustrates the encoding process flowchart **850** and shall be understood in conjunction with the encoded result in **Figure 8A**. Each of the mask images is received or accessed at

**852.** For example, all the mask images are stored in a memory space and accessed by process **850** sequentially and respectively. According to one embodiment, each of the mask images has a size of col-by-row, for example, 640 columns and 480 rows, and the process starts with the last image pixel at (col-1, row-1). At **854** and **856**, coordinates (i, j) for an encoded value are therefore initiated at (col-1, row-1). At **858**, the process ensures that coordinates (i, j) will not go beyond the boundary of the image. Logically, the process will go to **870** when it just starts. At **870**, an intermediate value  $K' = 1$ , i.e. on the background, is a pre-assigned encoded value at coordinates (i, j). At **868**, the image pixel at (i, j) is determined if it is on the background or the foreground. If the image pixel at (i, j) is on the background, the encoded value at coordinates (i, j) K is assigned to be the intermediate value  $K'$  at **872**, otherwise K is assigned to be the opposite of  $K'$ , namely  $K = K' \times (-1)$  at **874**. At **876**, the coordinates for the next value to be encoded is at (i-1, j), left to (i, j). The process now starts again at **865** and passes to **860** that checks the values. In this case, it only checks the encoded value at (i+1, j), (i, j+1), and (i+1, j+1). At **862**, a smallest value of these encoded value is obtained. The intermediate value  $K'$  is assigned to be an increment of the smallest encoded value by one at **864**.

The rest of the process at **868**, **872** or **874**, **876** and **878** are similar and have been described above. However, when the last line of a mask image is done, the process will branch out from **878**

to **880** to decrement  $j$  by one to move to a line above and starts at **856**. Then the process continues along the line until the very first pixel is processed. What is important here is the judgement at **860**. The process checks if the neighboring encoded values correspond to the same color (either the background or the foreground) as the pixel at  $(i, j)$  of the mask image. If one of the neighboring encoded values is not the same, the encoded value will be either 1 or -1, otherwise it will be just an increment of the smallest value among the absolute value of the neighboring encoded values, for example, among the neighboring encoded values 2, 3 and 4, the smallest value 2 is incremented to 3, or among the neighboring encoded values -2, -3 and -4, the value (-2) is incremented to (-3). It can be appreciated that the computation of the process increase linearly in the number of pixels in the mask images.

Specifically, when encoded pixel value **809** of **Figure 8A** is considered, the process checks the neighboring encoded values **811-813** and all of them are on the foreground. The minimum value of the neighboring encoded values is 1 according to **862** and hence the intermediate value  $K'$  is a 1-increment of the minimum value according to **864**. The encoded pixel value **809** is finalized at **874** through **868** and **872**. It is now evident to those skilled in the art to verify other encoded values in encoded image **810** of **Figure 8A** by the process in **Figure 8B**.

The above description of process **850** is suitable for implementation by a recursive programming. Those skilled in the art may appreciate, from the description above, that the MAE scheme may be implemented in a different way, which shall result in a different flowchart and does not affect the operation of the invention. It should be pointed out that the objective that the MAE scheme provides is not affected by the various implementations. In essence, the MAE scheme achieves a constant processing speed for determining colors of the cubes regardless the size of the mask images as further described below.

Returning back to **Figure 7A**, all mask images are ensured to have been encoded according to the MAE scheme at **708**. Now for every cube, it is respectively determined if it is being occupied by the object or any of the object using an octree encoding structure.

At **710**, a node of the octree is established to reflect that a single cube is being examined. At **712**, the cube is projected with respect to each of the mask images as described above. To be specific, if there are 36 mask images, that means that the object has been imaged around at every 10 degrees. For each of the 36 images, the cube is projected onto a plane in parallel to the each of the 36 images, resulting in a projected cube whose corners define a polygon **890** as shown in **Figure 8C**. A smallest axis aligned square **892** that encloses polygon **890** is compared to the corresponding

encoded mask image to determine how the polygon is occupied,  
 namely fully or partially occupied or not occupied at all. It should be  
 noted that the advantage of the encoded mask image is being  
 utilized by using the smallest square that encloses the polygon  
 5 because the comparison between the square and the mask image  
 is now based on the encoded integers rather than on image pixels.  
 The processing time is substantially decreased.

If the polygon is fully occupied with respect to each of the  
 mask images, the cube is labeled "black" at **714**. If it is not fully  
 10 occupied, the cube is further checked at **716**. If the cube is not  
 occupied at all, the cube is labeled "white" at **718**. Otherwise, the  
 cube is labeled "gray" and subject to being divided into eight  
 smaller cubes at **720**.

To fully understand the process, a corresponding octree **758**  
 15 is illustrated in **Figure 7C** in which node **760** represents a single  
 cube. Each node or leaf in octree **758** corresponds to a cube, a  
 node, a leaf or a cube are therefore interchangeably used herein.  
 After the single cube is divided into eight smaller cubes, node **762**  
 has accordingly eight leaves **762**. If one of the smaller cubes **762-2**  
 20 is further divided into eight even smaller cubes, leaf **762-2** becomes  
 a node and is further subdivided with eight leaves **764**. It can be  
 appreciated, octree **758** grows as the cubes are recursively divided  
 and further the divided cubes are meanwhile encoded with the  
 respective nodes or leaves.

At **720** of **Figure 7B**, the cube that is neither fully occupied nor vacant is labeled "gray". As indicated in octree **758**, only the gray cube will be further divided and projected for comparisons with respect to each of the mask images. Therefore, the octree, or the node representing the gray cube, is extended by dividing the gray cubes at **722**. Because a cube has been divided into eight smaller units, the color of each of the eight units has now yet to be determined based on the occupancy. At **724**, the process checks if there are any cubes that must be labeled for an appropriate color, i.e. if there exists a cube with color "unknown". If such cube exists, it is projected for comparison with respect to each of the mask images at **712**. If it shows from each of the mask images that the cube is fully occupied, the cube is labeled "black" at **714** and the process then moves to the next cube at **724**. If it shows from each of the mask images that the cube is not fully occupied, the cube is further checked to see if it is entirely or partially unoccupied at **716**. If it is entirely unoccupied in at least one mask image, the cube is labeled "white" at **718** and the process then moves to the next cube at **724**. If it is partially occupied in some of the mask images and fully occupied in the rest of the mask images, the cube is labeled "gray" at **720** and the gray cube is further divided into eight units to repeat the process until a predefined precision threshold is reached. As a result, a volumetric representation or 3D region of the 3D object is carved as an example shown in **Figure 6C** and all the cubes are encoded in corresponding octree **758**.

## Generation of a 3D Mesh Model

Before creating a fully textured 3D model of an object, a description of the surface of the object is needed. Typically, a mesh model of the object is a desired description of the surface, as it provides the information how each localized area is oriented and positioned in a scene or object coordinate system so that corresponding texture information may be applied thereto to generate subsequently a fully textured 3D model. Further, a mesh model may be used as a basis to create a display or reproduction of the real world object and generate other displays such as "morphs", fantasy or special effects.

The generation of a 3D mesh model is a process that generates a mesh model of an object by dividing its 3D surface into a set of small triangular (or quadrilateral) elements. The meshing process disclosed herein is a process that converts an object region encoded in a volumetric form, such as an octree, into a surface mesh or wire frame. One of the advantages of the process is the elimination of any preprocessing of the object region, thus overall performance of generating a fully textured 3D model is substantially improved. Another advantage is to enable an automatic generation of a complete mesh model of a 3D object without user input or intervention. The disclosed mesh generator takes as input only one required item, namely, a specification of the

3D volumetric representation of the octree and results in a complete mesh model therefrom.

Referring to octree **758** in **Figure 7C**, it can be observed that all the nodes are in one of the three colors, "black", "white" or "gray". The "black" ones mean that the corresponding cubes are fully occupied, the "white" ones mean that the corresponding cubes are not occupied at all and thus have been carved away, and the "gray" ones mean that the corresponding cubes are partially occupied and could, in theory, be further divided into even smaller cubes for color determination. Given the desired degree of refinement, octree **758** is ceased to grow further. Now it can be appreciated that the nodes of octree **758** that have children (leaves or nodes) correspond to "gray" cubes and those ending nodes having no children are either in "black" or "gray" or "white".

To facilitate the description of the meshing process, it is assumed that every boundary cube of the octree is at the same level of refinement. It should be pointed out that this assumption is not an implied limitation of the present invention. Those skilled in the art understand that the assumption can readily be made by further subdividing boundary cubes or nodes at a lower refinement level (i.e. higher level in the octree) and the description can be equally applied when the boundary cubes are not at the same level of refinement. The implementation of the process without explicitly subdividing the cube that are not at the lowest level of refinement



becomes evident to those skilled in the art with the following description.

**Figure 9** shows a meshing process flowchart according to one embodiment of the present invention. At **902**, an octree encoding a 3D region is received. The octree as shown in **Figure 7C** is an example having multiple layers of nodes, some nodes have children resulting from a gray cube being further divided. The number of children is exactly eight, namely there are eight sub-nodes to each of the nodes that have children. Each of the nodes in the last layer that have children is called a leaf. Since the result of the meshing process is a collection of triangles, a list F in a memory space is initiated for storing the triangles at **903**. The list may be a table to index all the triangles.

At **904**, the octree is traversed to find all the leaves that represent either "black" or "gray" cubes. Those who are familiar with the octree data structure know that a recursive process may be implemented in a computer language to retrieve all the leaves. At **906**, each of the leaves is examined to determine if it belongs to a category of "boundary cubes". The boundary cubes are referred to those cubes that have at least one side incident to white cubes. To facilitate the description, parameters of a boundary cube are further defined as follows:

a “boundary face” is a face shared by a black and white cube, a gray and white cube, a black cube and the boundary of the original single big cube, or a gray cube and the boundary of the original single big cube; and

5           a “face normal” for the boundary face of a boundary cube is the vector normal to the boundary face with its origin in the face and pointing into the cube.

**Figure 10A** shows a portion **1000** from a 3D region encoded by the octree and intersecting with white cubes **1002** that have been carved away in the space carving process described above. Portion **1000** includes a number of cubes with a front layer of cubes **1006** representing the surface of the object intersecting with white cubes surrounding cubes **1002**. Exemplary cube **1004** in the front layer has six sides or faces with one side incident to white cubes **1002** and therefore is classified as a boundary cube. In fact, cubes in the front layer **1006** facing white cube **1004** are all boundary cubes and encoded by some of the leaves in the octree. According to the definitions above, cube **1004** has a boundary face **1008** that has a face normal **1010**.

20           At **908** of **Figure 9**, the determined boundary cubes are stored for further processing. At **910**, a decision has to be made if the octree has been completely traversed. If there are more leaves to be retrieved for the determination of a boundary cube, the

process goes to **904** otherwise the process goes to the processing of all the boundary cubes.

At **912**, a temporary list (memory) L is initiated, for every of the boundary cubes, for storing triangles. At **914**, one of the boundary cubes C<sub>b</sub> is processed with respect to what is called "neighborhood configuration".

"neighborhood configuration" is referred to herein as a process, for a given boundary cube, to choose one of its boundary faces, look at the face in the direction of the "face normal" and to determine what relationship the one of its boundary faces has immediate neighbors. Or alternatively, as a result of the "neighborhood configuration", all possible relationships of the given boundary cube with its immediate neighboring cubes are determined. If it is assumed that an angle of rotation around this axis "face normal" which is "natural", i.e. the edges of the face are aligned with the x and y axis of the viewing plane and the face has coordinates (i, j), the 3 neighboring faces will be (i+1, j), (i, j+1), (i+1, j+1). For a specific boundary face f<sub>c</sub>, the distance along the face normal to the boundary of the initial cube is considered. There are four faces f<sub>c</sub> which share a face with f<sub>c</sub> and have the same distance as f<sub>c</sub> (except for boundary cases). Of these four faces, the two which have coordinate (i+1, j) and (i, j+1) are considered. In addition, a third face (i+1, j+1), namely the one which shares an edge with both (i+1, j) and (i, j+1), is considered. Each of the latter

three faces can be a face of zero, one, or two black (grey == black) cubes. In the case of one black cube, the cube can be either “in front” or “in the back” of the face.

**Figure 10B** illustrates that a given boundary cube **1012** is neighbored with three neighboring cubes **1014**, **1016**, and **1018**. For each face, for example, face F, it can be incident to two immediate neighbors **1016** and **1014**, both can be zero black cubes (i.e. all white cubes), one black cube, or two black cubes. As mentioned above, all gray cubes at the finest level are treated as “black” cubes. In the case of one black cube, the cube can be either “in front” or “in the back” of the face. **Figure 10C** shows all of the four possibilities in which each case is labeled “A”, “B”, “C” and “D” respectively. These four possibilities are the “neighborhood configuration”. Every neighborhood configuration is triangulated in a way that guarantees non-intersecting triangles are resulted.

To be more specific, **Figure 10D** illustrates, respectively, two examples, one results in a square if all the front cubes are connected and the other results in a triangle if all the front cubes are connected.

With the definition and configuration above, referring now back to **Figure 9**, at **914**, the “neighborhood configuration” for a boundary face of a boundary cube is determined, which entails either zero, one, or two triangles, or one square as a result at **916**. Specifically, for a specific boundary cube  $c_b$ , the neighborhood

configuration for all of its boundary faces is considered. Every neighborhood configuration entails a set of triangles or squares. At **918**, it is needed to combine these triangles and squares and perform an analysis which guarantees non-overlapping and non-intersecting triangles resulting in the set of final triangles for c\_b. The specific analysis performed is implementation dependent and can be implemented by a person skilled in the art. Examples of the analysis may include processes of removing duplicated triangles and enforcing the subdivision of a square into two triangles if a given triangle intersects (i.e. shares three vertices) with a square. The remaining squares in list L are subdivided into two triangles in an arbitrary fashion. According to one embodiment, it is guaranteed that the resulting set of triangles is non-intersecting or overlapping, as well as that every triangle is unique and bounds a volume that has the same orientation (i.e. order of vertices).

Similarly, triangle list F is established for the entire set of boundary cubes. Before moving triangles from the list L to the triangle list F, all the triangles in the list L shall be sequentially checked with respect to the triangles in the triangle list F. If there are any duplicated triangles in the list L, these duplicated triangles will be discarded, otherwise they are added to the triangle list F. The list F may contain triangles that do not bound a volume, there triangles are called dangling and membrane triangles that can be removed with methods known to those skilled in the art. The process goes repeatedly from **912** to **920** for each of the boundary

cubes, as a result, the triangle list F now has all the triangles bounding the surface of the 3D object.

### Texture Mapping

The addition of texture patterns to 3D models enhances the realism of the models immensely. The process itself is called texture mapping, an image synthesis technique in which one or more 2D images, also known as texture images, are mapped onto a surface of a 3D mesh model. Therefore, the next step, after the 3D mesh model is obtained, is to apply corresponding texture patterns to the surface of the mesh model. Although there have been several texture mapping techniques around, one of the important features in the texture mapping disclosed herein is the generation of patches with continuous texture mapping without user intervention. Another important feature is a mechanism provided to export the patches in a commonly used image file that can be subsequently modified with an image processing application.

**Figure 11A** shows a process flowchart of applying the texture patterns to the mesh model. At **1102**, a mesh model is received and preferably described in triangles. It should be pointed out that those skilled in that art will appreciate that the texturing process disclosed herein works with a mesh model of other shapes of polygons. Although in the preferred mode, these polygons are triangular, in other modes, they may be rectangular, hexagonal or the like. When using polygons of order greater than three, special

steps may be required to ensure that all of the vertices lie within a common plane. Essentially, higher order polygons can be reduced to triangles (polygons of order 3) for convenience in processing. To facilitate the description of the texturing process, the mesh model is assumed to be of triangles and those skilled in the art will appreciate that the description herein is equally applied to a mesh model with polygons of order greater than three.

Preferably, the mesh model may be modified at **1104**, depending on a desired resolution or a degree of refinement. The approach used at **1104** may include a decimation process which according to a set of rules reduces the number of triangles to facilitate an efficient and effective texture mapping process to be followed. The rules may include a normal comparison between two or more neighboring triangles. If a normal of one triangle is similar to a neighboring triangle within a predefined degree of refinement, the corresponding triangle may be merged together with the neighboring triangle. In addition, a user may subdivide the mesh model into one or more logic parts for texture mapping at **1104** either within the current process or using a commercially available tool, such as 3D Studio MAX in which the mesh model can be displayed and interacted with.

At **1106**, each of the triangles, based on the normal thereof, is assigned to a side view image  $C_i$ . To be specific, **Figure 12A** shows a group of triangles being assigned to respective side view

images. As described above, a surrounding view of the object has been captured in a number of side view images C1, C2, ... CN, each taken at a known position relative to the object. Based on the normal of each of the triangles and the known angle of each of the side view images, each of the triangles can be respectively assigned to one of the side view images C1, C2, ... CN. A visibility test is applied for every triangle and a side view in order to ensure that the triangle is visible from the chosen side view. If the triangle is not visible from the chosen side view, an alternative side needs to be selected.

Because the triangles, even next to each other, are quite inhomogeneous, it is not uncommon that two neighboring triangles are assigned to two different side view images, which result in texture discontinuity between them if no further process is applied. For example, triangle **1202** is assigned to image C1, the neighboring triangle **1204** may be assigned to image C4 that is taken from a quite different view angle from image C1. At **1108**, each triangle assigned to a side view image is mapped to/with the side view image for texturing, namely with the patch corresponding to the portion of texture information for the triangle. At **1110**, a local blending process is applied to smooth those texture discontinuities. Additional information of process **1106**, **1108** and **1110** is provided by W. Niem, et al "Mapping Texture From Multiple Camera Views Onto 3D-Object Models for Computer Animation", the proceedings



of the International Workshop on Stereoscopic and Three Dimensional Imaging, September 6 - 8, 1995, Santorini, Greece.

As one of the important features in the present invention, the generation of exportable patches is introduced herein. A patch is a collection of triangles of the mesh with the property that every triangle in the patch shares at least one edge with some other triangle in the same patch. In addition, all patches have the properties that the union of all the patches contains all the triangles of the mesh, and that no two patches contain the same triangle.

Exporting such patches in image files makes it possible for a user to alter or modify the texture mapping for a particular patch in a desirable way. For example, a 3D modeling system, typically, is not designed to model the bottom of a 3D object that is often assumed black or a color extended from what is on the bottom portion of the object. Consequently, the final 3D model loses its realism when its bottom is caused to be displayed. In other situations, users desire to remove certain reflections (e.g. speculums) caused by non-uniform illumination. With the generated textured patches, the user may use an image or graphics application, such as PhotoShop 5.0 from Adobe Systems, Inc. in San Jose, California, to manually alter or modify the textured patches. The editability of the texture mapping, and therefore the usability thereof increases tremendously if the mapping is performed in a fashion which maps neighboring triangles of a mesh to neighboring triangles in a texture image.

At **1112**, therefore, a procedure is provided to generate one or more patches, alternatively, it is to subdivide the mesh into a patch or patches. The detail of **1112** is provided in **Figure 11B**. At **1120** of **Figure 11B**, an empty patch is created (i.e. a memory space is initiated) and indexed. At **1122**, one of the triangles in the mesh model is chosen as a seed triangle. The seed triangle may be chosen randomly from the triangles that are not included in a patch yet or from a group of local triangles that demonstrate a similar normal. At **1124**, neighboring triangles to the seed triangle are sequentially checked if they have been tested for suitability to be included in the patch that is to be described below. If the neighboring triangles are all tested, that means the patch is finished. Otherwise, the triangles are further respectively tested at **1126** to see if any of the triangles can be added to the patch.

To be specific, **Figure 12B** illustrates that a patch is growing with every newly added triangle. For example, triangle **1210** is a seed triangle that begins the patch initiated at **1120**. When a neighboring triangle **1212** has not been "tested", triangle **1212** will be tested to see if it shares at least one edge with the seed triangle. If it is not, it means that the triangle does not belong to the patch or that it may be added to the patch later in the process. As an example, neighboring triangle **1214** does not belong to the patch and will be thus discarded for the time being. If triangle **1212** shares one edge with triangle **1210**.

A mapping is created therefore at **1128** of **Figure 11B**. It should be emphasized that the particular mapping in the current embodiment is based on the orthographic projection from the 3D model to the texture image. For a particular patch, the projection is along the direction of the face normal of the seed triangle. Alternatively, the perspective projection may be used or any other suitable projections may be used.

At **1130**, the accepted triangle is further tested to see if it intersects the patch. If it does, the triangle is labeled "tested", and the process goes to **1124** to test another triangle. If the triangle does not intersect the patch, it is now added to the patch at **1134** so that the patch grows one triangle bigger. The patch generation process permits to generate multiple patches. At **1136**, it checks if the entire mesh model has been processed, namely expressed now in a number of patches. If there are still some triangles that have not been put into a patch, then the process goes to **1120** to generate a new patch.

It can be appreciated that the patch generation process in **Figure 11B** can be implemented by a recursive programming and subsequently produces a number of mutually exclusive patches, each comprising a plurality of triangles that share at least one edge with other triangles in the patch.

At **1114**, the process is to create texture image or images. These are the images that store the actual texture. The creation of

5 this image requires that the textures stored for every triangle are projected into the image. In the current embodiment, we accelerate the process by using graphics accelerator architecture. If such architecture is not available, the architecture is emulated by software.

10 As a result, the shape of patch **1218** is formed and the textured triangles therein provide a textured patch that can be saved or exported at **1116** in a commonly used image format, such as TIFF (Tag Image File Format) or JPEG (Joint Photographic Experts Group), that can be opened by an image processing application such as PhotoShop. A user can repaint or modify any portion of the textured patch using the PhotoShop that provides sufficient graphic user interface to modify the patch at pixel level.

15 The process described above shows a method for creating contiguous texture patches. Rather than mapping texture to each of the triangles of the mesh model, the process chooses to map the texture from every triangle into a respective portion of the texture image. As another important features, the texture mapping process described herein can be implemented to take advantage of the graphics accelerator architecture commonly in most computer systems. Redirecting the graphics accelerator to draw into a buffer in memory rather than the buffer for the monitor can yield a much more efficient mapping of the textures.

20

The advantages of the invention are numerous. Several advantages that embodiments of the invention may include are as follows. One of the advantages is an economical and efficient 3D modeling system that is low in cost and easy to operate, virtually  
 5 anywhere within minutes. The modeling system employing the present invention can be used and operated by an ordinary skilled person to generate fully-textured models of 3D objects within a limited time for many applications including Internet commerce and product designs. Another advantage is the MAE scheme that  
 10 encodes all mask images to make the space carving process nearly independent of the size of images. Still another advantage is the process of generating a mesh model using neighborhood configuration that produces only valid triangles. Still another advantage is the texture mapping process that provides a  
 15 mechanism to generate exportable patches comprising triangles that can be provided contiguous texture mapping without user intervention. Yet another advantage is the possible implementation of the texture mapping processing on graphics accelerator architecture to redirect the graphics accelerator to draw into a  
 20 buffer in memory rather than the buffer for a monitor, yielding a much more efficient mapping of the textures.

The present invention has been described in sufficient detail with a certain degree of particularity. It is understood to those skilled in the art that the present disclosure of embodiments has  
 25 been made by way of examples only and that numerous changes in

the arrangement and combination of parts as well as steps may be resorted without departing from the spirit and scope of the invention as claimed. Accordingly, the scope of the present invention is defined by the appended claims rather than the forgoing description of embodiments.

5

the arrangement and combination of parts as well as steps may be resorted without departing from the spirit and scope of the invention as claimed. Accordingly, the scope of the present invention is defined by the appended claims rather than the forgoing description of embodiments.

## CLAIMS

We claim:

1. A method for automatically generating a fully-textured 3D model  
of an object; said method comprising:

receiving from a camera a sequence of images taken  
sequentially and respectively around the object;  
generating a 3D region from a sequence of mask images;  
each of said mask images derived from one of said  
sequence of images;

generating a mesh model from said 3D region using a tree  
structure; and

producing said fully-textured 3D model from said mesh  
model with respect to said sequence of images.
2. The method as recited in claim 1 further comprising:

receiving a reference image captured when a calibration  
target is in the place of said object; and

deriving a camera model of said camera from said reference  
image.
3. The method as recited in claim 2, wherein said calibration target  
is of round shape and has a center thereof, and wherein said  
reference image covers only a portion of said calibration target,  
said portion including said center.

4. The method as recited in claim 3, wherein said deriving a camera model comprises:

detecting said center from said reference image and a pair of  
 end points having a maximum distance, horizontally and  
 vertically, respectively, from said center; and  
 calculating a major axis and a minor axis extending from  
 said center to said pair of end points, respectively.

5. The method as recited in claim 1, wherein said generating a 3D region comprises:

utilizing cubes representing said object; and  
 carving said cubes recursively to fit said object by projecting  
 said cubes against each of said mask images.

6. The method as recited in claim 5; wherein each of said cubes is encoded as a node in said tree structure that grows, while said carving said cubes recursively proceeds, till a predefined degree of refinement.

7. The method as recited in claim 6; wherein said generating a mesh model comprises:

collecting all leaves of said tree structure by traversing said tree structure,

determining boundary cubes from said leaves; and



triangulating a group of at least three of said boundary cubes  
according to predefined rules.

8. The method as recited in claim 7, wherein said mesh model is  
described by a plurality of triangles, each connecting three of  
said boundary cubes.

9. The method as recited in claim 8, wherein said producing said  
fully-textured 3D model comprises:

assigning each of said triangles to one of said images  
according to a normal of said each of said triangles; and  
texturing said each of said triangles with respect to said one  
of said images.

10. The method as recited in claim 8, wherein said producing said  
fully-textured 3D model comprises:

growing patches respectively by adding each of said  
triangles to one of said patches when said each of said  
triangles shares at least one edge with entered triangles  
in said one of said patches; and  
providing said patches for editing by a user using an image  
editing application.

11. The method as recited in claim 1, wherein said object is placed on a routable platform so that said sequence of images are taken when said object is rotated.

5 12. The method as recited in claim 11, wherein said routable platform is driven by a stepper motor controlled by a computing device that synchronizes said camera so that each of said images is taken at a known position.

10 13. The method as recited in claim 1; wherein said sequence of images are taken when said camera is moved around said object.

15 14. The method as recited in claim 13; wherein each of said images is taken at a known position by said camera.

20 15. A method for automatically generating a fully-textured 3D model of an object; said method comprising:  
 receiving from an camera a reference image of a portion of a calibration target having a center for deriving a camera model of said camera; said portion including said center;  
 detecting said center from said reference image and a pair of end points having a maximum distance, horizontally and vertically, respectively, from said center; and

calculating a major axis and a minor axis extending from  
said center to said pair of end points, respectively.

16. The method as recited in claim 15, further comprising:

5 receiving from said camera a sequence of images taken  
sequentially and respectively around the object; and  
converting said sequence of images respectively and  
correspondingly to a sequence of mask images.

17. The method as recited in claim 16, further comprising:

10 generating a 3D region from said mask images;  
generating a mesh model from said 3D region using a tree  
structure; and  
producing said fully-textured 3D model from said mesh  
15 model with respect to said sequence of images along  
with said camera model.

18. A computer readable medium for storing computer program

instructions for automatically generating a fully-textured 3D  
20 model of an object; said computer readable medium comprising:  
first program code for receiving from a camera a sequence  
of images taken sequentially and respectively around the  
object;

second program code for generating a 3D region from a  
sequence of mask images; each of said mask images  
derived from one of said sequence of images;  
third program code for generating a mesh model from  
said 3D region using a tree structure; and  
fourth program code for producing said fully-textured 3D  
model from said mesh model with respect to said  
sequence of images.

10 19. The computer readable medium as recited in claim 18 further  
comprising:  
fifth program code for receiving a reference image  
captured when a calibration target is in the place of said  
object; and  
15 sixth program code for deriving a camera model of said  
camera from said reference image.

20 20. The computer readable medium as recited in claim 19, wherein  
said calibration target is of round shape and has a center  
thereof, and wherein said reference image covers only a portion  
of said calibration target, said portion including said center.

21. The computer readable medium as recited in claim 20, wherein  
said sixth program code comprises:

program code for detecting said center from said  
 reference image and a pair of end points having a  
 maximum distance, horizontally and vertically,  
 respectively, from said center; and

5        program code for calculating a major axis and a minor axis  
 extending from said center to said pair of end points,  
 respectively.

22. The computer readable medium as recited in claim 18, wherein  
 10        said second program code comprises:

program code for utilizing cubes representing said object;  
 and

15        program code for carving said cubes recursively to fit said  
 object by projecting said cubes against each of said  
 mask images.

23. The computer readable medium as recited in claim 22; wherein  
 each of said cubes is encoded as a node in said tree structure  
 that grows till a predefined degree of refinement.

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24. The computer readable medium as recited in claim 23; wherein  
 said third program code comprises:  
 program code for collecting all leaves of said tree structure  
 by traversing said tree structure,

program code for determining boundary cubes from said  
 leaves; and  
 program code for triangulating a group of at least three of  
 said boundary cubes according to predefined rules.

5

25. The computer readable medium as recited in claim 24, wherein  
 said mesh model is described by a plurality of triangles, each  
 connecting three of said boundary cubes.

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26. The computer readable medium as recited in claim 25, wherein  
 said fourth program code comprises:

program code for assigning each of said triangles to one  
 of said images according to a normal of said each of said  
 triangles; and

15

program code for texturing said each of said triangles with  
 respect to said one of said images.

27. The computer readable medium as recited in claim 25, wherein  
 said fourth program code comprises:

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program code for growing patches respectively by adding  
 each of said triangles to one of said patches when said  
 each of said triangles shares exactly one edge with  
 entered triangles in said one of said patches; and

25

program code for providing said patches for editing by a  
 user using an image editing application.

28. The computer readable medium as recited in claim 18, wherein  
 said object is placed on a routable platform so that said  
 sequence of images are taken when said object is rotated.

5

29. The computer readable medium as recited in claim 28, wherein  
 said routable platform is driven by a stepper motor controlled by  
 a computing device that synchronizes said camera so that each  
 of said images is taken at a known position.

10

30. The computer readable medium as recited in claim 18; wherein  
 said sequence of images are taken when said camera is moved  
 around said object.

15

31. The computer readable medium as recited in claim 30; wherein  
 each of said images is taken at a known position by said  
 camera.

20

32. A computer readable medium for automatically generating a  
 fully-textured 3D model of an object; said computer readable  
 medium comprising:

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program code for receiving from an camera a reference  
 image of a portion of a calibration target having a center  
 for deriving a camera model of said camera; said portion  
 including said center;

program code detecting said center from said reference  
 image and a pair of end points having a maximum  
 distance, horizontally and vertically, respectively, from  
 said center; and

5        program code calculating a major axis and a minor axis  
 extending from said center to said pair of end points,  
 respectively.

33. The computer readable medium as recited in claim 32, further  
 10        comprising:

program code for receiving from said camera a sequence of  
 images taken sequentially and respectively around the  
 object; and

15        program code for converting said sequence of images  
 respectively and correspondingly to a sequence of mask  
 images.

34. The computer readable medium as recited in claim 33, further  
 comprising:

20        program code for generating a 3D region from said mask  
 images;

program code for generating a mesh model from said 3D  
 region using a tree structure; and



program code for producing said fully-textured 3D model  
 from said mesh model with respect to said sequence of  
 images along with said camera model.

- 5 35. A system for automatically generating a fully-textured 3D model  
 of an object; said system comprising:
- a turntable driven by a stepper motor to rotate said object  
 placed thereon;
  - a camera positioned within a field of view of said camera  
 10 viewing from an angle • looking down toward, and  
 slightly oblique to said turntable;
  - a computing device including memory loaded with program  
 code, said computing device coupled to and  
 synchronizing said camera and said stepper motor, said  
 15 computing device caused, when said program is  
 executed therein, to perform operations of:
    - receiving from said camera a sequence of images  
 taken sequentially and respectively of said object  
 when said object is being rotated by said stepper  
 20 motor;
    - generating a 3D region from a sequence of mask  
 images; each of said mask images derived from  
 one of said sequence of images;
    - generating a mesh model from said 3D region using a  
 25 tree structure; and

producing said fully-textured 3D model from said  
 mesh model with respect to said sequence of  
 images.

5        36. The system as recited in claim 35, wherein said memory is  
          further loaded with an image processing application.

37. The system as recited in claim 36, wherein said producing said  
 fully-textured 3D model comprises:

10        generating textured patches covering said object; and  
          exporting said textured patches to said image processing  
          application for being edited and modified by a user.

38. The method as recited in claim 37, wherein said producing said  
 15        fully-textured 3D model further comprises:

         combining said edited and modified textured patches to  
          represent said fully-textured 3D model in a desired  
          manner by the user.

20

25

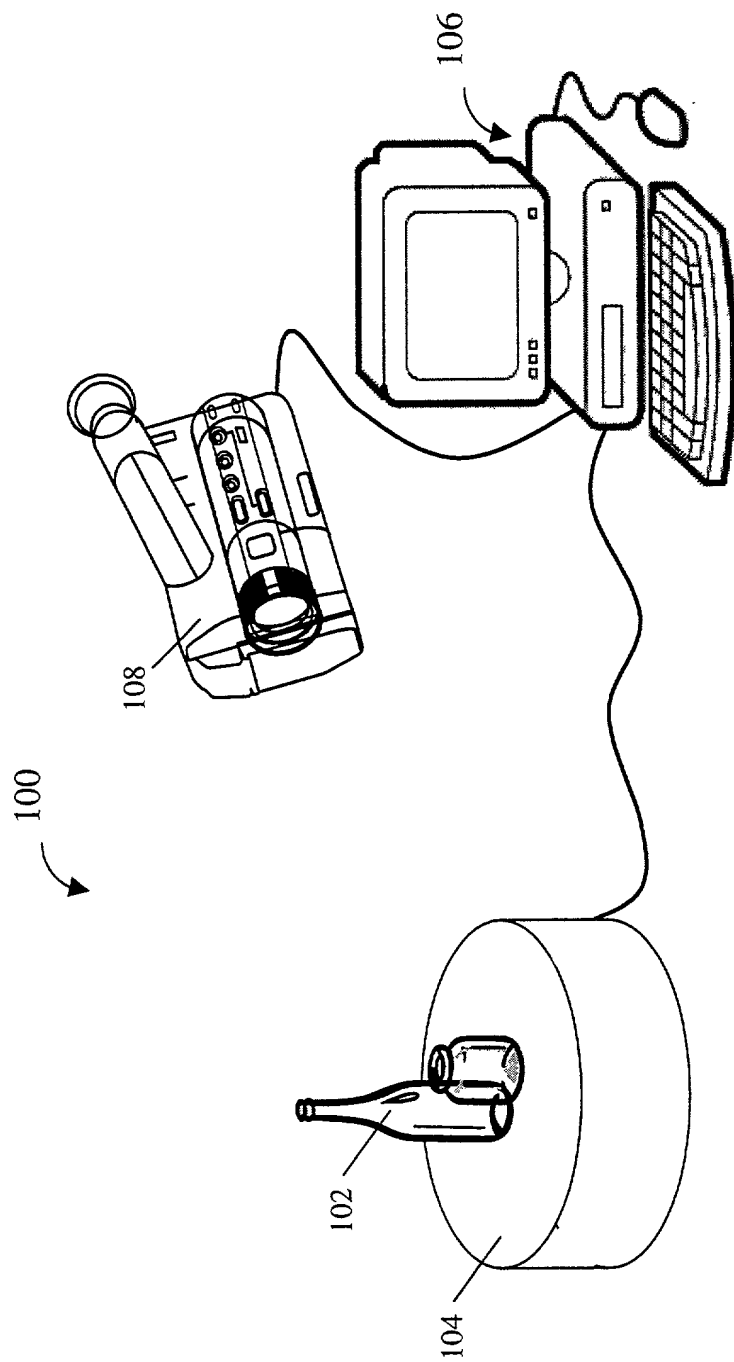
## Method and System for Generating Fully-Textured 3-D Models

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Jinlong Chen  
Sandor Fejes  
Anrong Peng  
Roman Waupotitsch

**File No.:** 888124-02

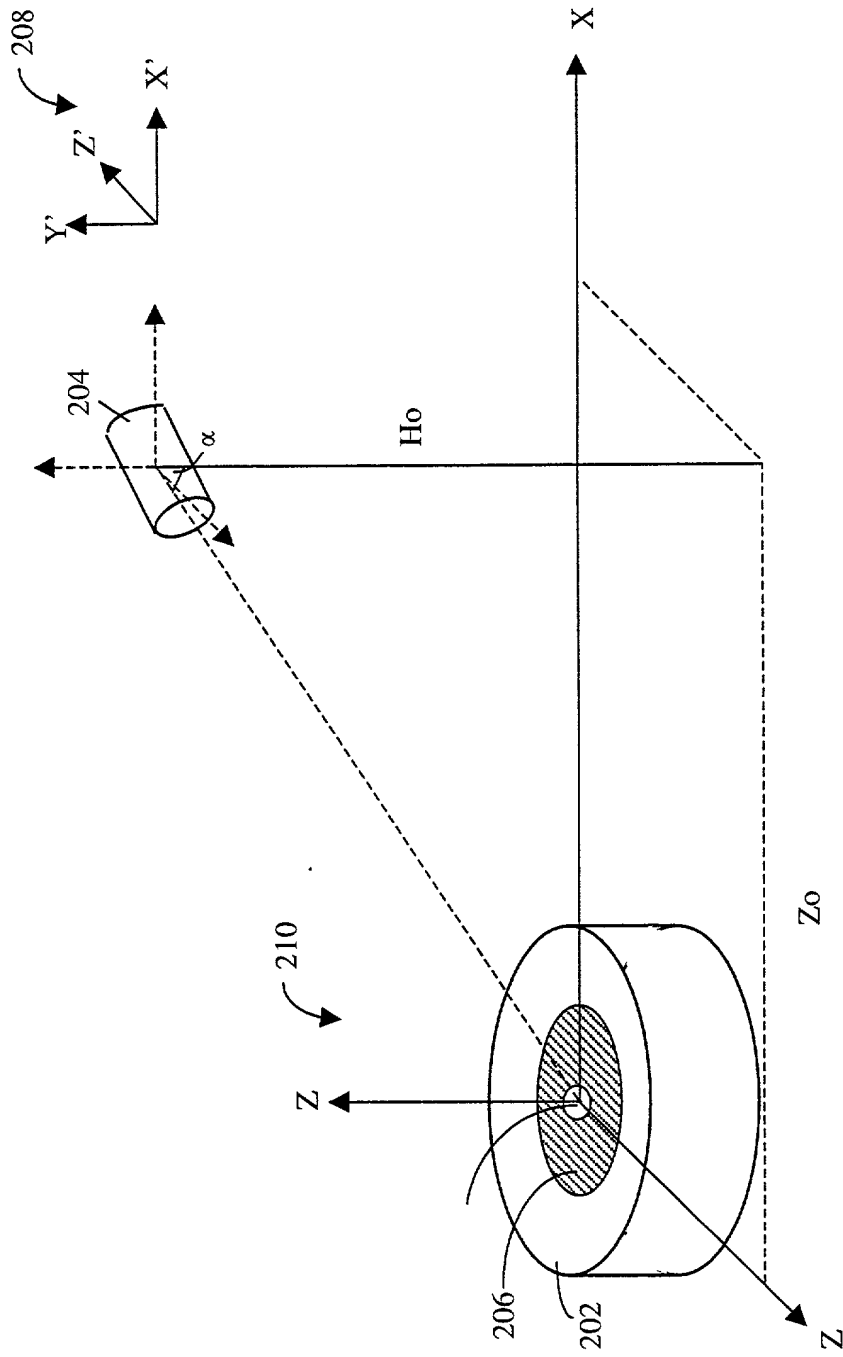
### Abstract

A 3D modeling system for automatically generating fully-textured 3D models of objects from a sequence of images taken around the objects is disclosed. There are several processes developed to facilitate the operation of the 3D modeling system by an ordinary skilled person. One of the processes is the automatic calibration of a camera using only a portion of a calibration disc to essentially provide a larger effective field of view of the camera. Another process is a space carving process that subdivides volumetric cells recursively to fit to a 3D object using a tree structure that encodes the entire process. Still another process is a 3D mesh model generation process that begins with the tree structure and generates self-constraint and interconnected triangles, in a sense that all triangles intersect with each other either not at all or at common boundary faces, to represent the shape of the 3D object. Yet still another process is a textured patch process that provides a useful mechanism for a user to edit and modify a fully-textured 3D model in a desired manner by the user.



*Fig. 1A*





**Fig. 2A**

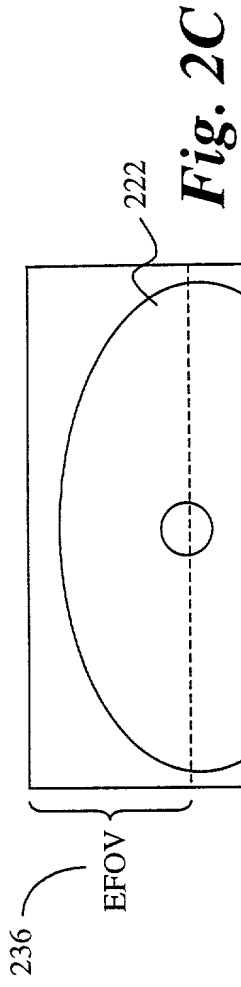


Fig. 2C

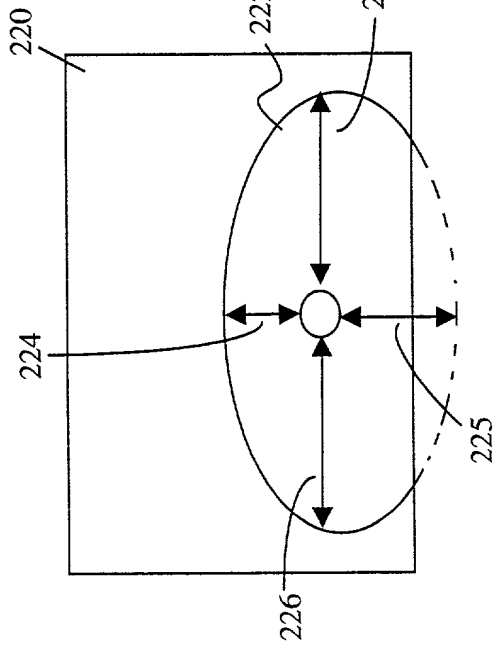
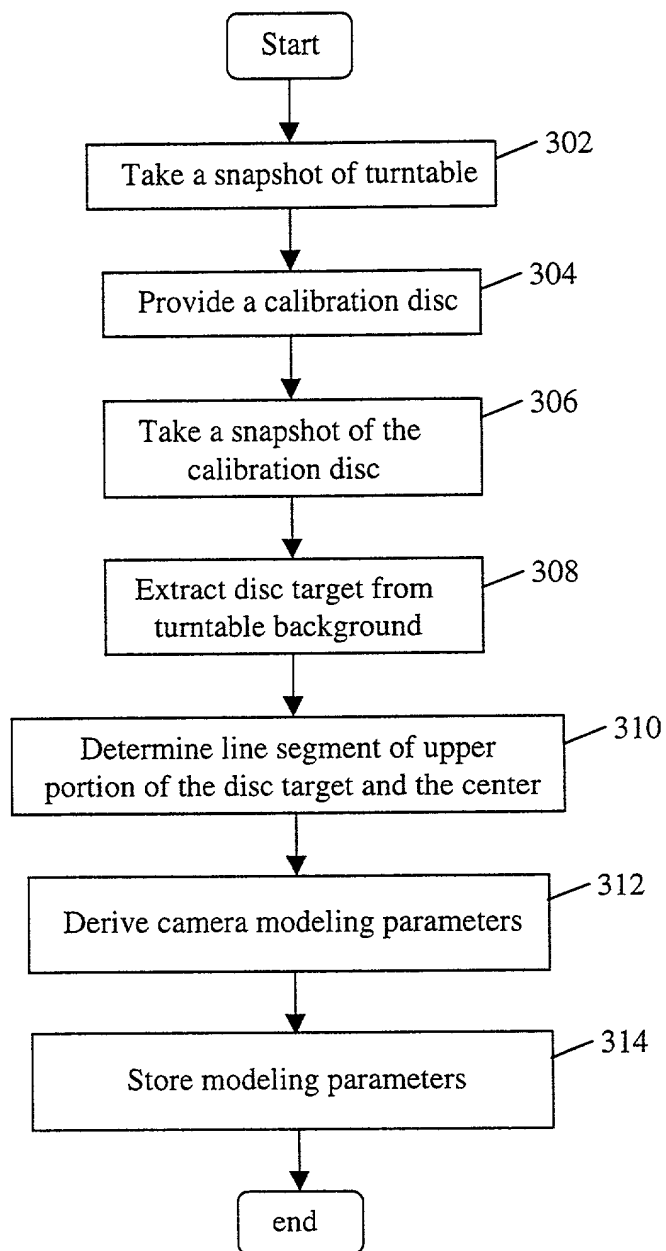
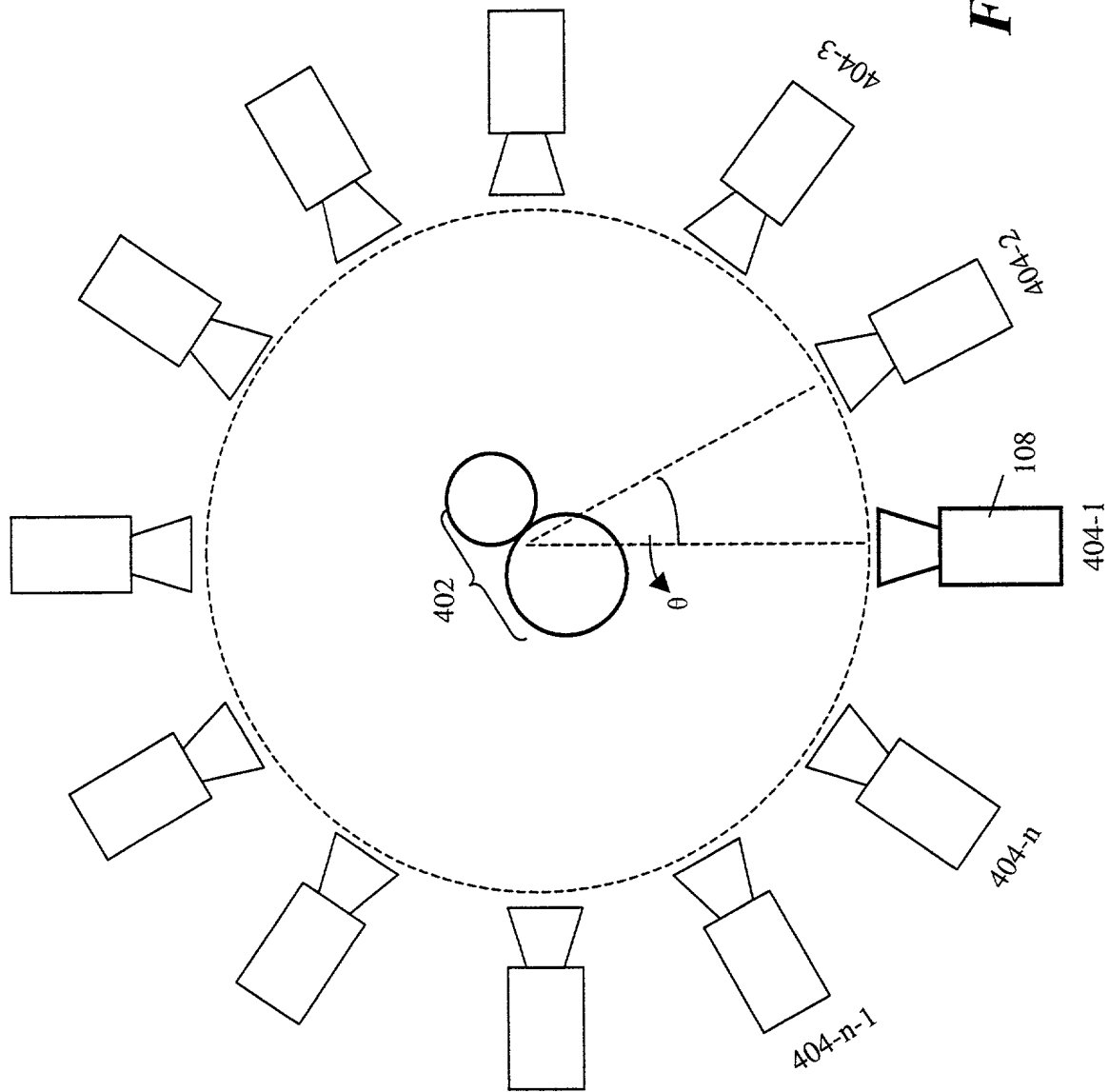


Fig. 2D



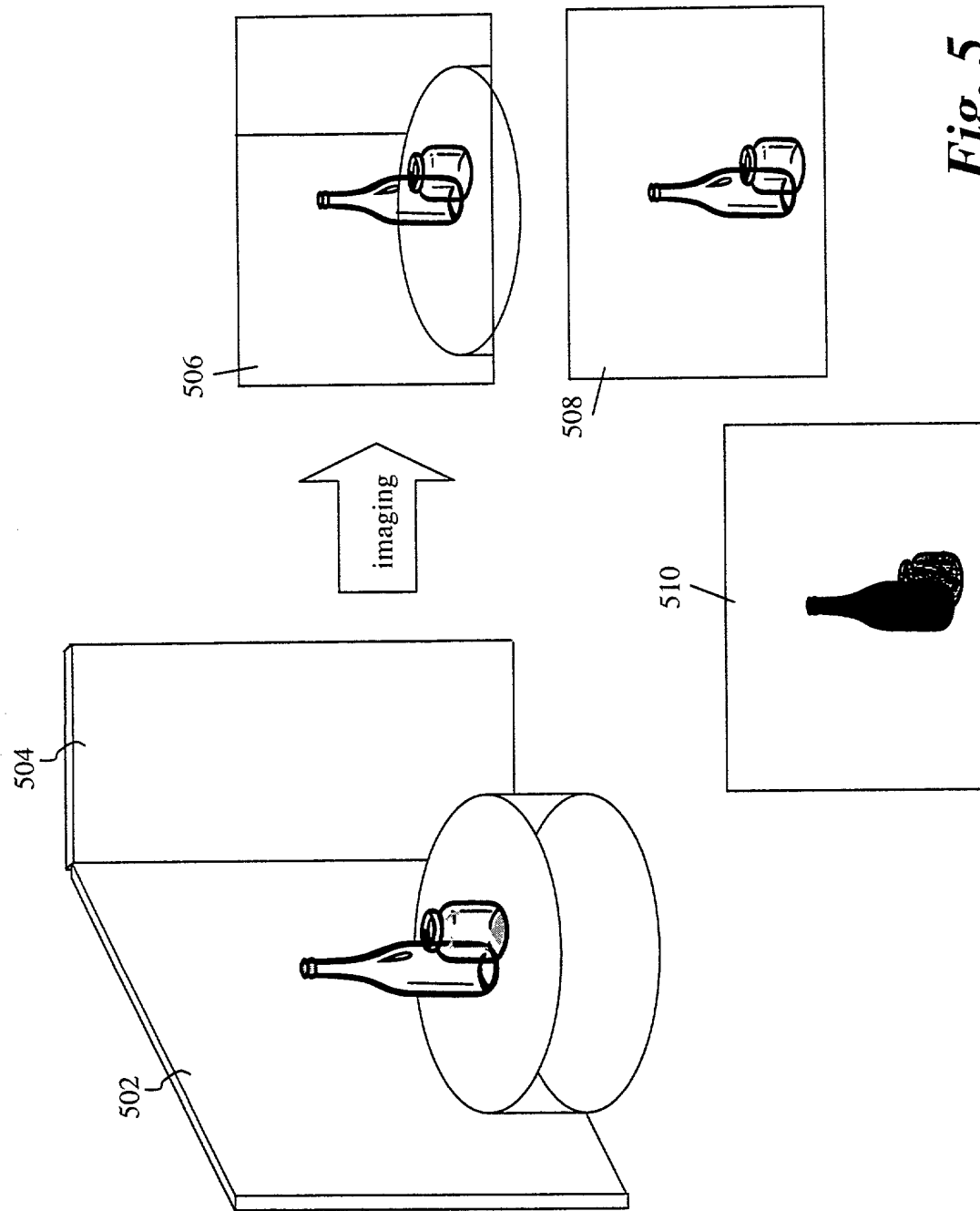
***Fig. 3***





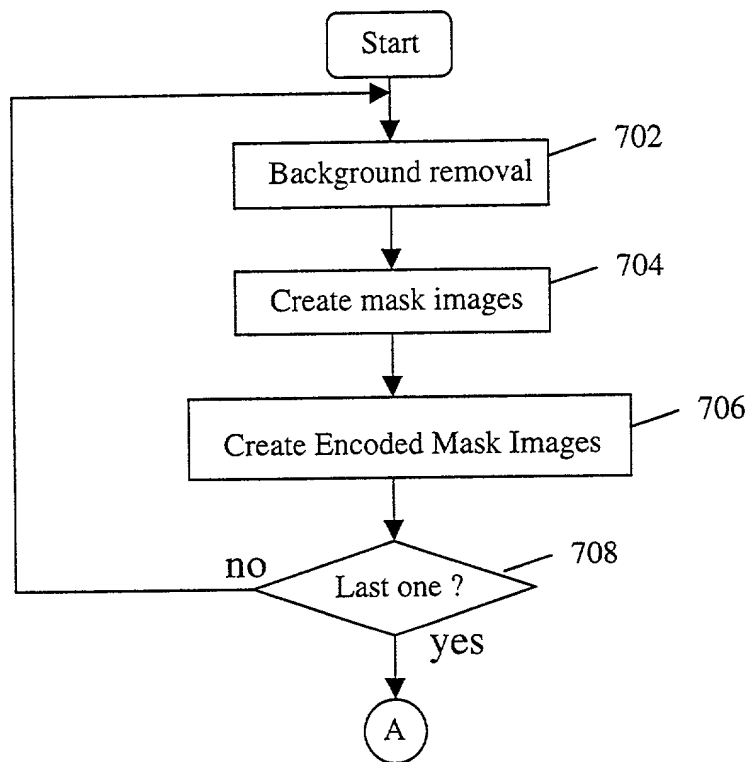
**Fig. 4**

FIG. 5 is a schematic diagram of a system for imaging a bottle and a glass. The system includes a camera 502, a light source 504, and a display 506. The camera 502 is positioned to capture an image of the bottle and glass. The light source 504 is positioned to illuminate the bottle and glass. The display 506 shows the captured image. The system is used for imaging a bottle and a glass.

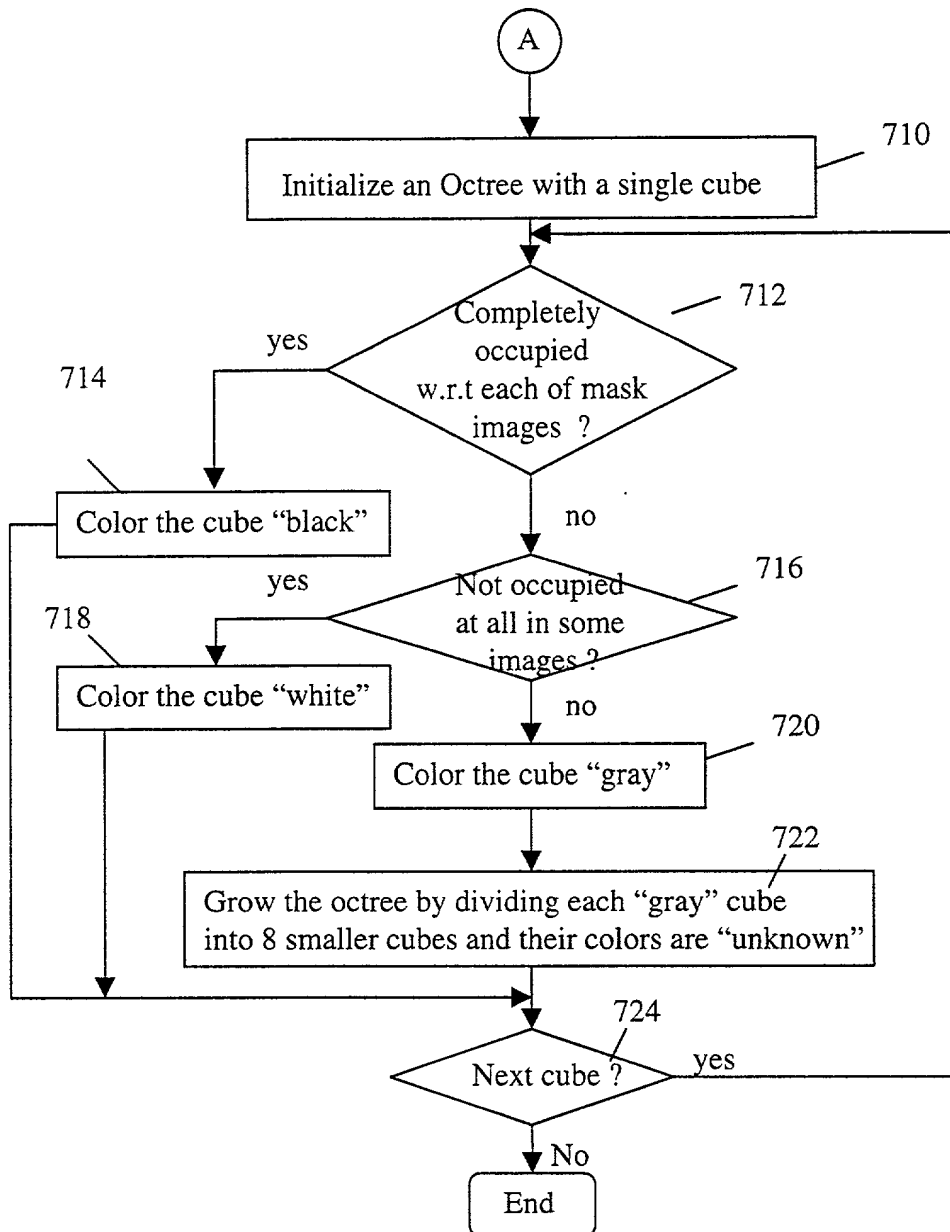


**Fig. 5**





**Fig. 7A**



**Fig. 7B**

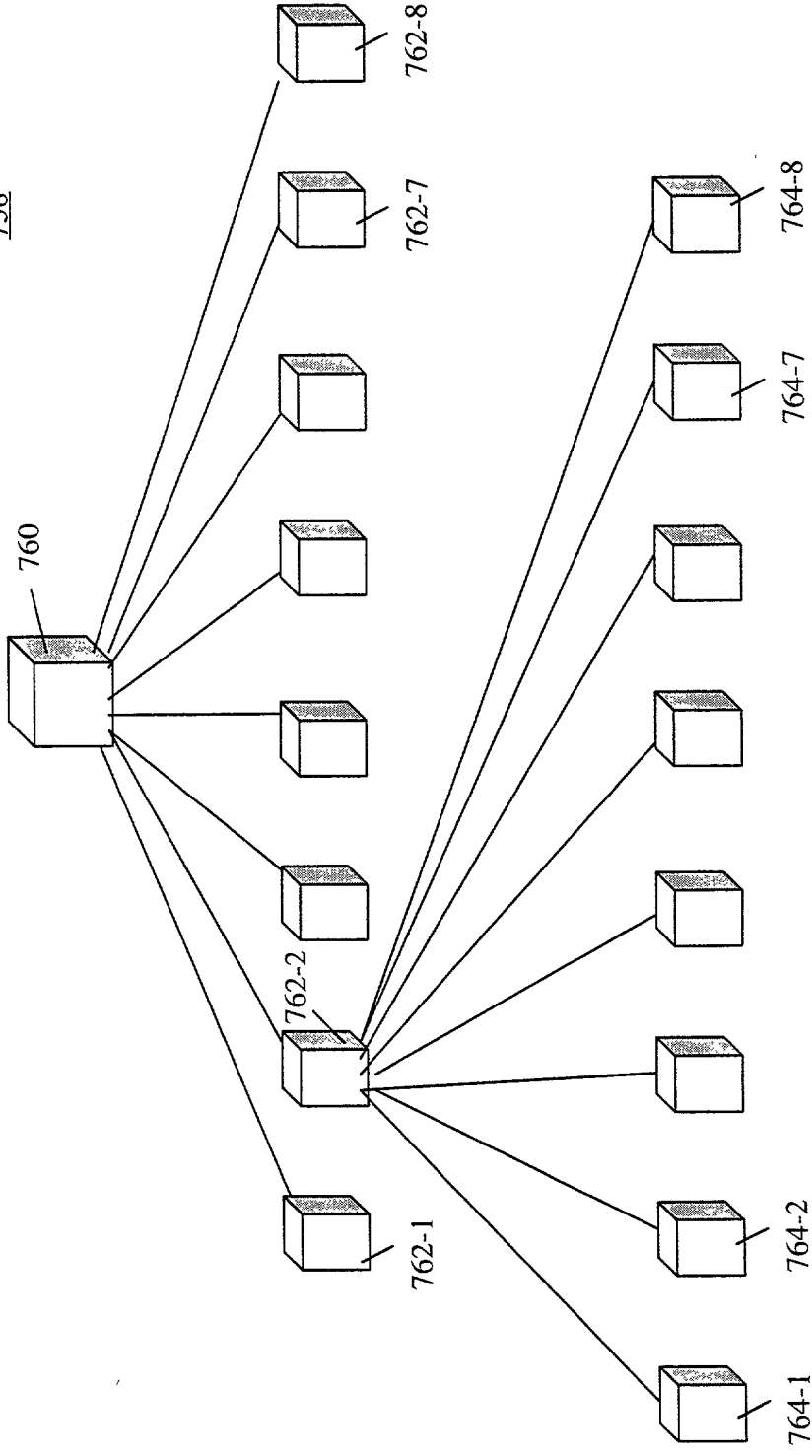
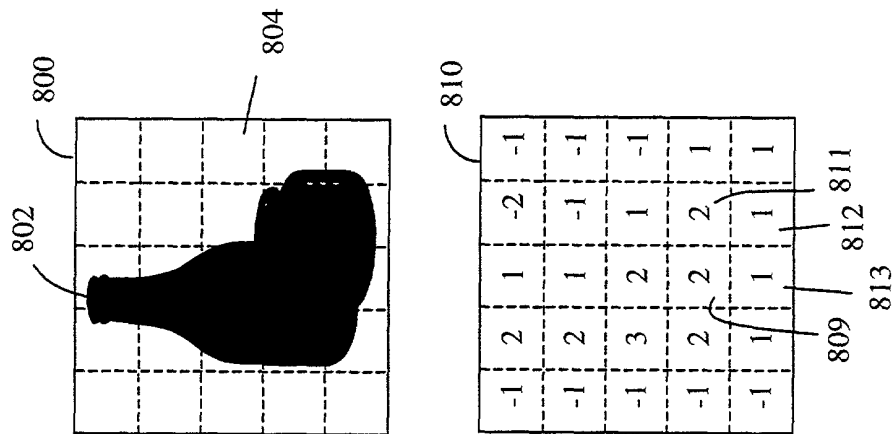


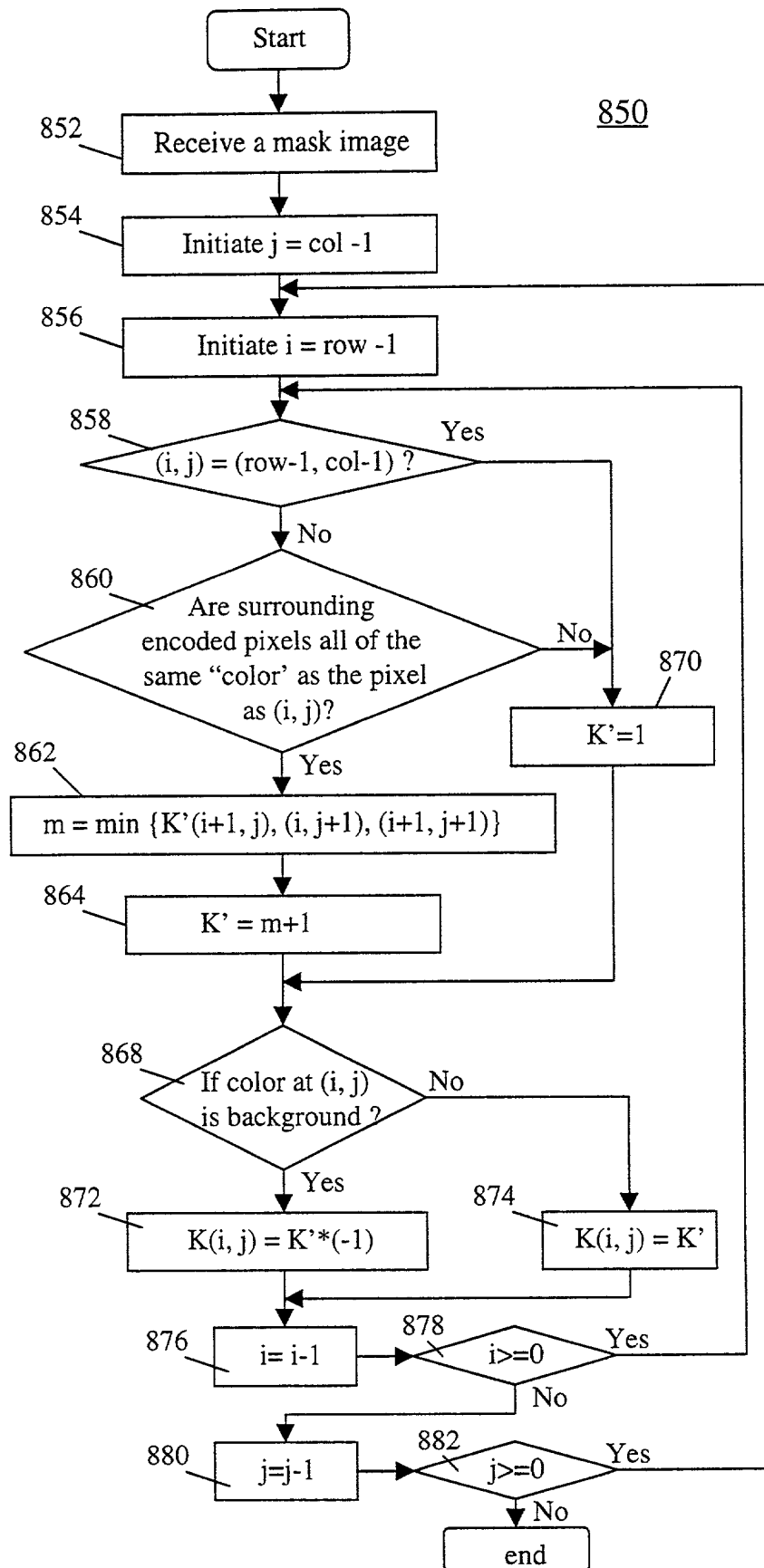
Fig. 7C

FIG. 8A is a schematic diagram of a system 800 for processing a 2D image 802. The system 800 includes a processor 804 and a memory 806. The processor 804 is configured to receive the 2D image 802 and process it to generate a 2D feature map 810. The memory 806 is configured to store the 2D feature map 810.

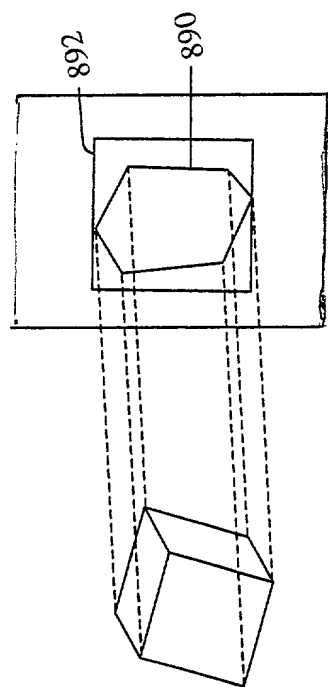


**Fig. 8A**

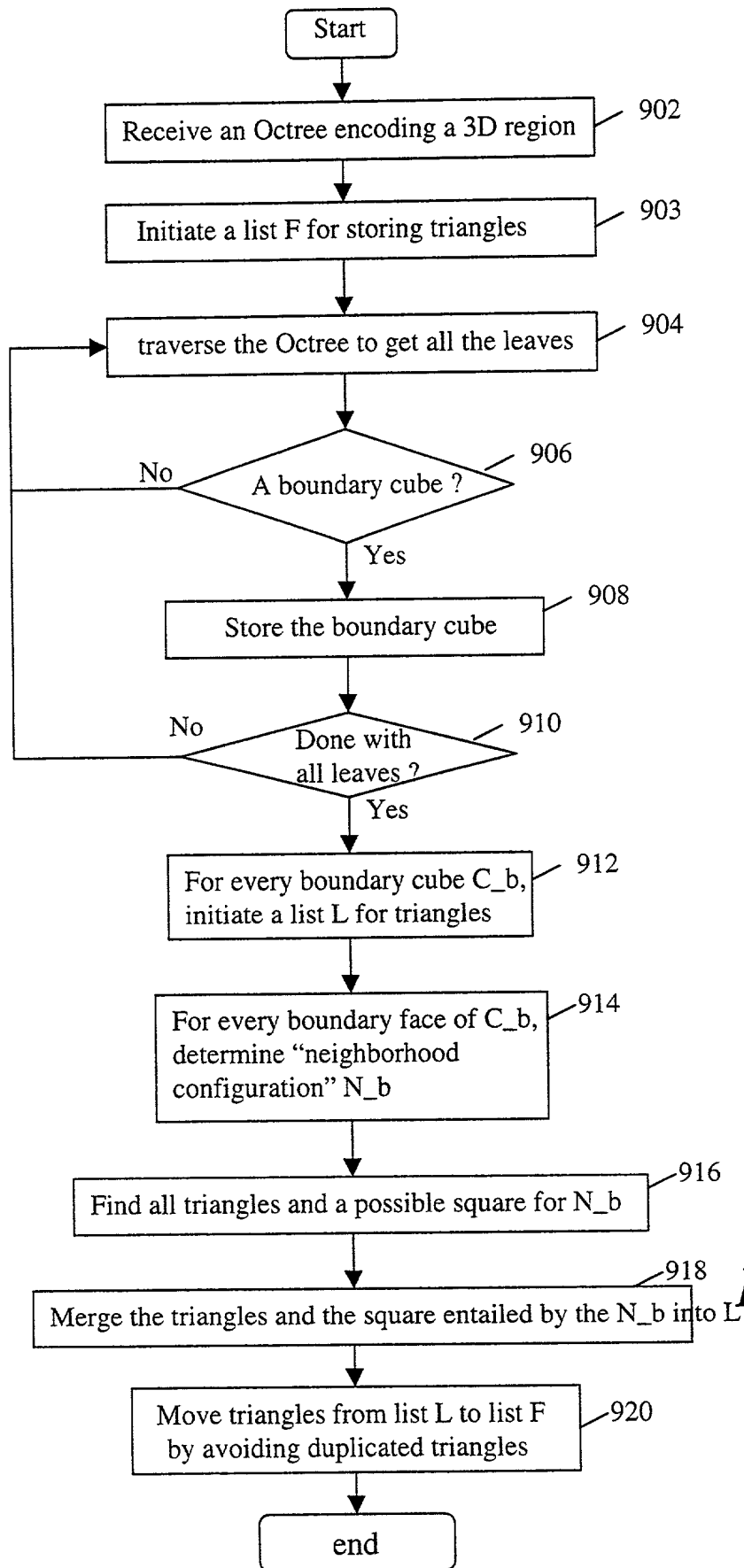
**Fig. 8B**



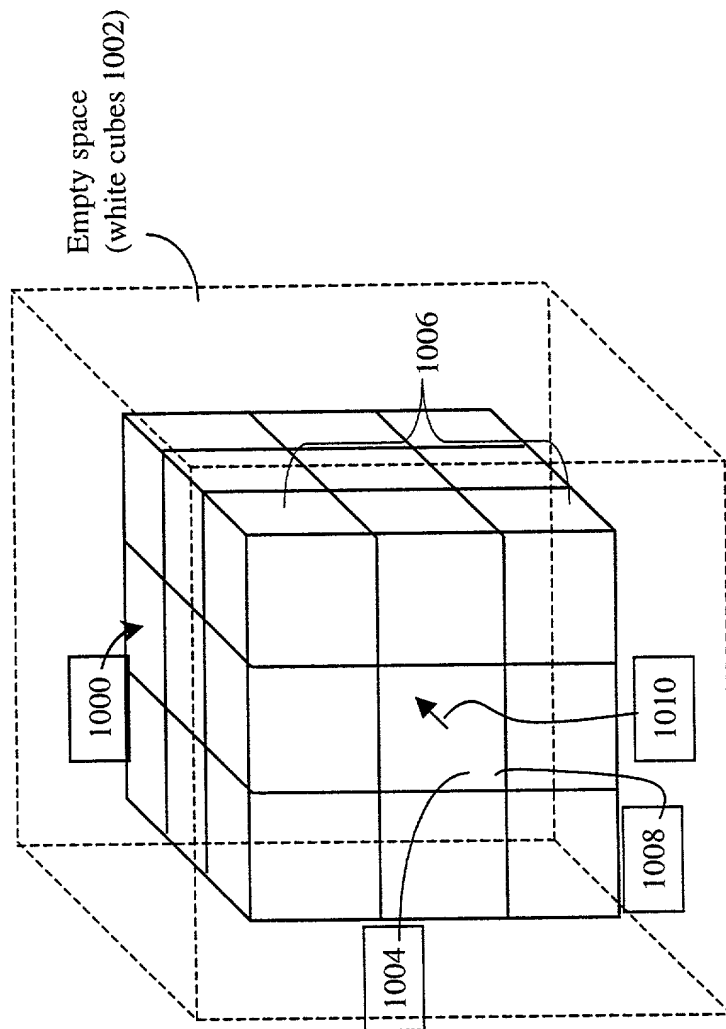




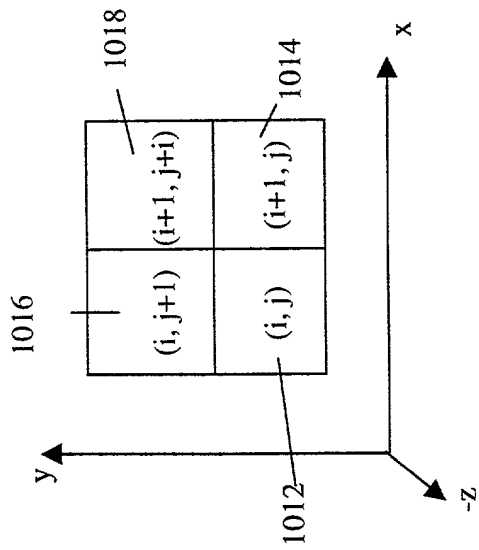
**Fig. 8C**



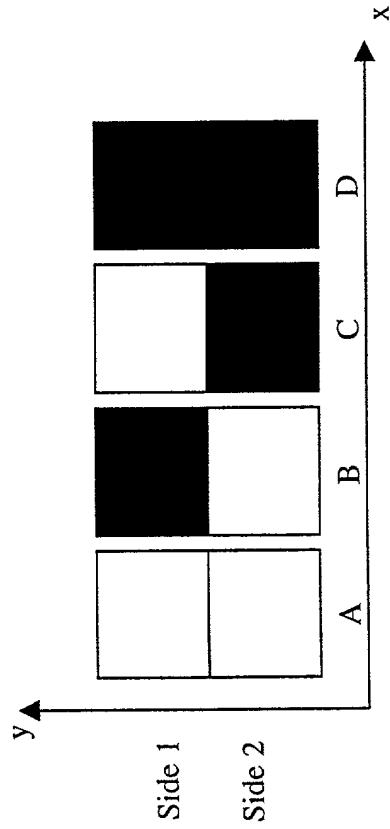
**Fig. 9**



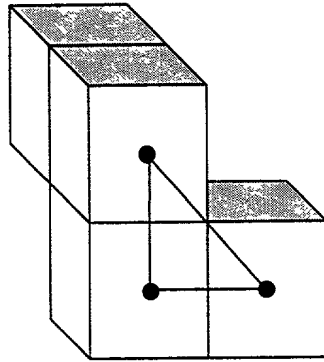
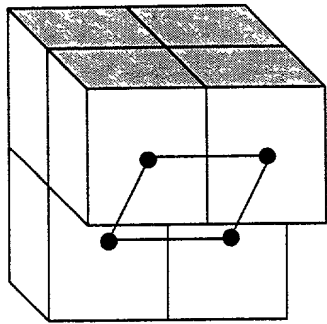
**Fig. 10A**



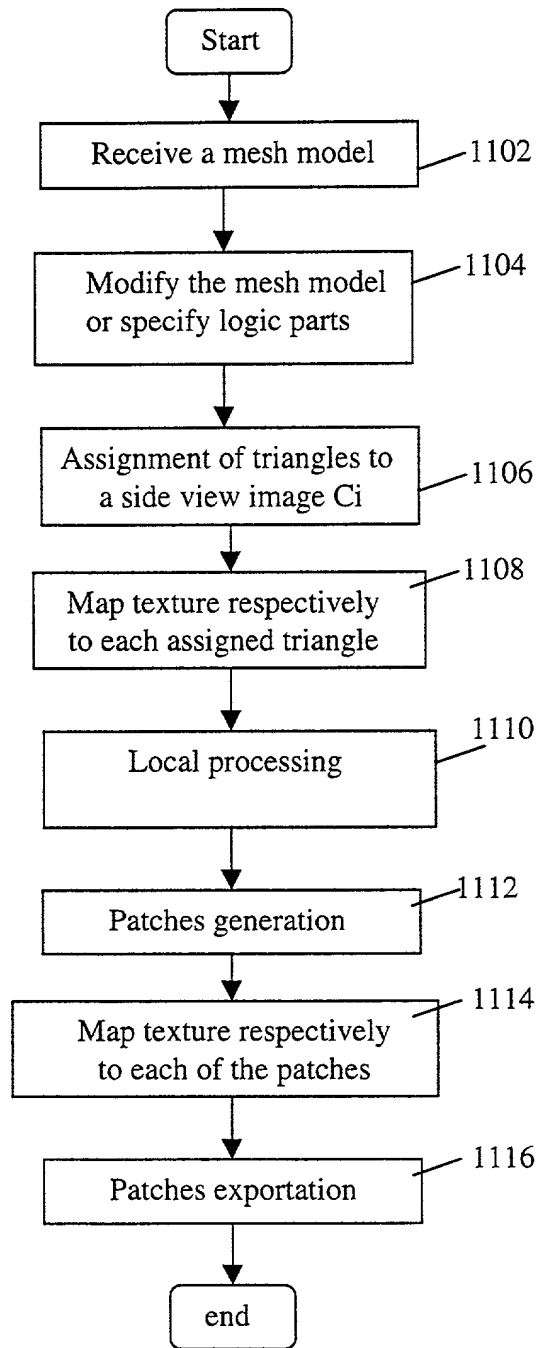
**Fig. 10B**



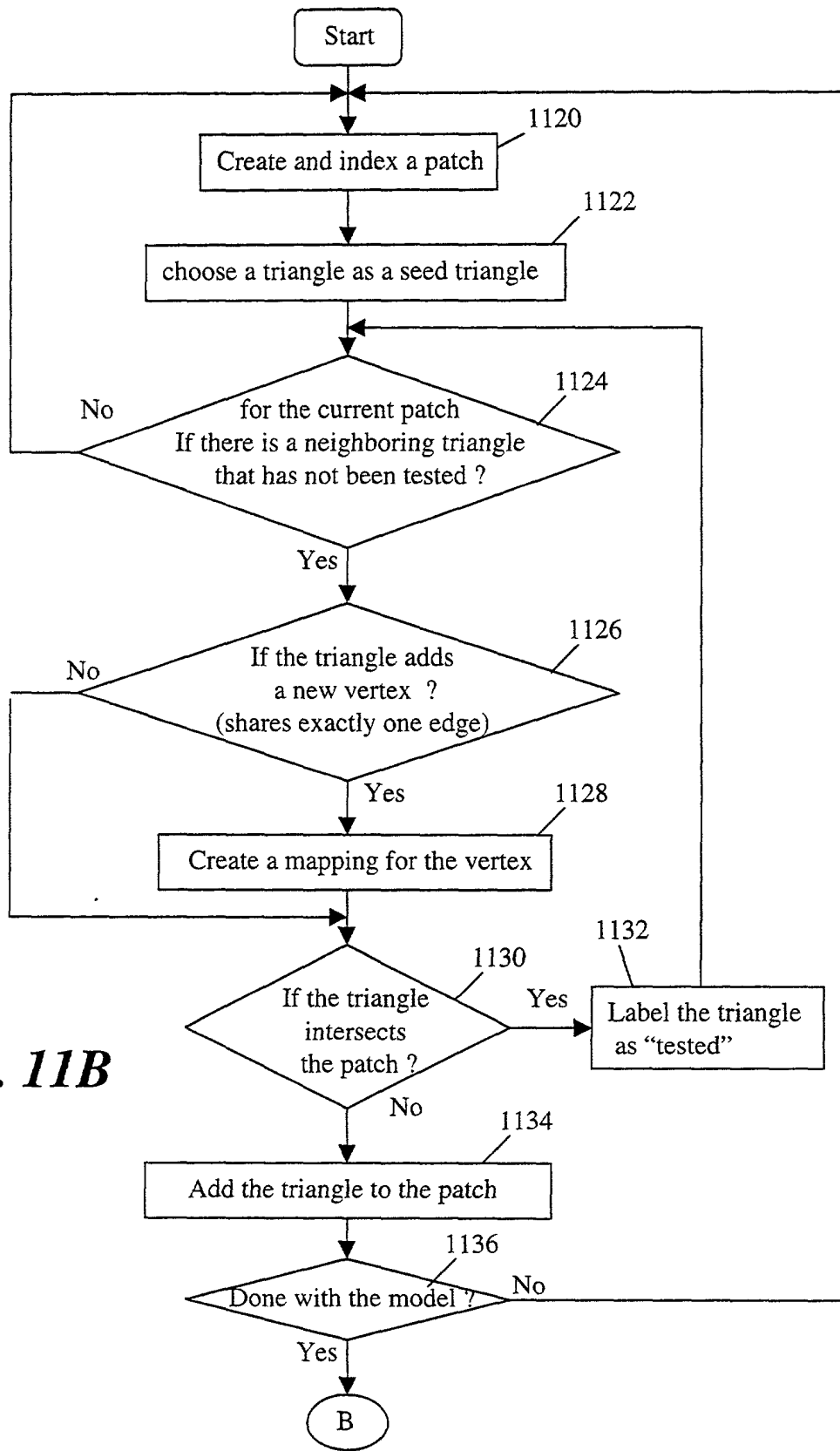
**Fig. 10C**



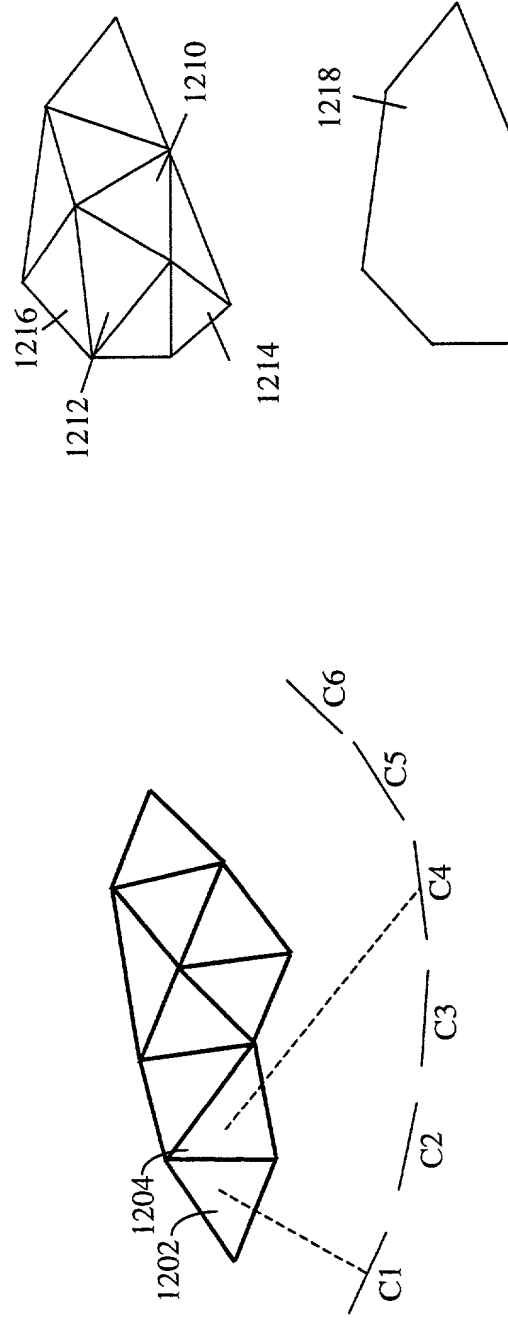
**Fig. 10D**



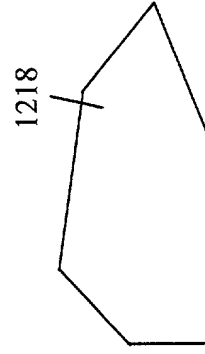
**Fig. 11A**



**Fig. 11B**



**Fig. 12A**



**Fig. 12B**